CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ***PHU HUNG SECURITIES CORPORATION*** -------	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM** **Độc lập - Tự do - Hạnh phúc** ***THE SOCIALIST REPUBLIC OF VIETNAM*** ***Independence - Freedom - Happiness*** ---------------
Số/*No.*: ..295./2026/PHS-PL	*Tp. Hồ Chí Minh, ngày 27 tháng 08 năm 2026* *Ho Chi Minh City, August 27, 2026*

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ *To:*
- **Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam;***
- **Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;**
- **Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;**
- **Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange.***

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG ("PHS")**
   *Name of organization: **PHU HUNG SECURITIES CORPORATION ("PHS")***
   - Mã chứng khoán/Mã thành viên/*Stock code/Broker code*: PHS/022
   - Địa chỉ/ *Address*: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh/ *21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City.*
   - Điện thoại liên hệ/*Tel.*: (+84 28) 5413 5479 Fax: (+84 28) 5413 5472
   - E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

   Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố các Nghị quyết của Hội đồng quản trị như sau:
   According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses the Resolutions of the Board of Directors as follows:

   i. Nghị quyết số 02/109_0926/NQ-HĐQT ngày 27/08/2026, Hội đồng Quản trị thông qua kết quả đợt chào bán cổ phiếu riêng lẻ, sửa đổi Điều lệ Công ty và thực hiện các thủ tục pháp lý sau phát hành;
   Resolution No. 02/109_0926/NQ-HĐQT dated August 27, 2026, the Board of Directors passed the results of the private placement of shares, amending the Company's Charter, and carrying out post-issuance legal procedures.

   ii. Nghị quyết số 03/109_0926/NQ-HĐQT ngày 27/08/2026, Hội đồng Quản trị thông qua việc mua cổ phần Công ty Cổ phần Bảo hiểm Nhân thọ Phú Hưng ("PHL").

Resolution No. 03/109_0926/NQ-HĐQT dated August 27, 2026, the Board of Directors passed the purchase of shares of Phu Hung Life Insurance Joint Stock Company ("PHL").

iii. Nghị quyết số 04/109_0926/NQ-HĐQT ngày 27/08/2026, Hội đồng Quản trị thông qua việc mua cổ phần Công ty Cổ phần Bảo hiểm Nhân thọ Phú Hưng với AFC Corporation và Fortune Orient Holding Corporation.
Resolution No. 04/109_0926/NQ-HĐQT dated August 27, 2026, the Board of Directors passed the purchase of shares of Phu Hung Life Insurance Joint Stock Company with AFC Corporation and Fortune Orient Holding Corporation.

(Chi tiết tham khảo tài liệu đính kèm/ *Further information, please find the attachment).*

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 27/08/2026 tại đường dẫn: https://www.phs.vn, phần Về PHS, mục Quan hệ cổ đông.
This information was published on PHS's website on August 27, 2026 in the link at https://www.phs.vn, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:
Attached Document:

- Các Nghị quyết HĐQT.
- *The Board Resolutions.*

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE
(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
S.G.P. C.P
QUẬN 7 - T.P HỒ CHÍ MINH

Ông/ *Mr.* CHEN CHIA KEN
Tổng Giám đốc
General Director

PHU HUNG SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIET NAM** **Independence - Freedom - Happiness**
No.: 02/109_0926/NQ-HĐQT	*Ho Chi Minh City, August 27th, 2026*

RESOLUTION

BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION

(TERM 2025 – 2028)

- *Pursuant to Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to Law on Securities No. 54/2019/QH14;*
- *Pursuant to Decree No. 155/2020/NĐ-CP dated December 31st, 2020 of the Government detailing the implementation of certain articles of the Law on Securities;*
- *Pursuant to Decree No. 245/2025/ND-CP dated September 11th, 2025, amending and supplementing a number of articles of Decree No. 155/2020/NĐ-CP detailing the implementation of certain articles of the Law on Securities;*
- *Pursuant to the current Charter of Phu Hung Securities Corporation dated April 16th, 2026;*
- *Pursuant to Resolution No. 01/2026/NQ-ĐHĐCĐ-PHS dated April 16th, 2026 of the 2026 Annual General Meeting of Shareholders;*
- *Pursuant to the Board of Directors' Resolution No. 05/106_0626/NQ-HĐQT dated May 28th, 2026 of Board of Directors of the Company on the implementation of the private placement plan, the detailed use and organization of the proceeds from the offering, and the plan to ensure the offering complies with regulations on foreign ownership ratios;*
- *Pursuant to the Board of Directors' Resolution No. 03/108_0826/NQ-HĐQT of the Board of Directors dated July 22nd, 2026 on approving the private placement registration dossier;*
- *Pursuant to the Official letter No. 7307/UBCK-QLKD dated August 04th, 2026 of the State Securities Commission on the receipt of the complete private placement registration dossier of Phu Hung Securities Corporation;*
- *Pursuant to the Confirmation of Balance No. 3067/GXN-GDKH dated August 20th, 2026 of the escrow account for receiving proceeds from the private placement, issued by Joint Stock Commercial Bank for Investment and Development of Vietnam – Nam Ky Khoi Nghia branch;*
- *Pursuant to the Meeting Minutes No. 01/109_0926/BB-HĐQT dated August 27th, 2026 of Board of Directors of the Company.*

CÔNG T... CỔ PHẦ... CHỨNG KH... PHÚ HƯ... S.G.P: 122 - ... QUẬN 7 - T.P HỒ...

RESOLVE:

Article 1: Resolution 1

The Board of Directors passed the results of the private placement of shares, amending the Company's Charter, and carrying out post-issuance legal procedures, main details as below:

1. Approval of the report on the results of the private placement of shares

The Board of Directors ("BOD") hereby approves the report on the results of the private placement of shares to strategic investors of Phu Hung Securities Corporation ("Company"), with the following details:

1.1.Share name: Shares of Phu Hung Securities Corporation

1.2. Share type: Ordinary shares

1.3. Ticker symbol: PHS

1.4. Par value: VND 10,000 per share

1.5. Planned number of shares offered: 50,000,000 shares

1.6. Offering price: VND 10,000 per share

1.7. Total expected proceeds: VND 500,000,000,000 (five hundred billion dong)

1.8. Number of shares successfully distributed: 50,000,000 shares (100% of the total registered offering)

1.9. Total proceeds from the offering: VND 500,000,000,000 (five hundred billion dong)

1.10. Total offering expenses: VND 0

1.11. Total net proceeds from the offering: VND 500,000,000,000 (five hundred billion dong)

1.12. Commencement date for receipt of share purchase payments: August 18th, 2026

1.13. Closing date of the offering (completion of payment collection): August 20th, 2026

1.14. List and distribution results for the 04 strategic investors: CX Technology (VN) Corporation, New Beam International Inc., Freshfields Capital Corporation, and New Life Trading Service Company Limited. *(Details set out in appendix 01 attached to this resolution)*

1.15. Transfer restriction undertaking: the entire 50,000,000 shares privately issued to the above 04 strategic investors shall be restricted from transfer for a period of 03 (three) years from the date the company submits the offering completion report to the State Securities Commission (SSC) in accordance with law; the date of submission of the offering completion report (the date of completion of the issuance) shall be determined based on the written confirmation of the SSC of its receipt of the Company's report on the results of the private placement.

2. Approval of the change in charter capital and amendment of the Company's Charter

2.1. Recording the new charter capital following the issuance:

- Charter capital prior to the offering: VND 2,000,098,190,000 (two trillion, ninety-eight million, one hundred and ninety thousand dong)
- Increase in charter capital: VND 500,000,000,000 (five hundred billion dong)
- New charter capital following the offering: VND 2,500,098,190,000 (two trillion, five hundred billion, ninety-eight million, one hundred and ninety thousand dong)
- Total number of shares following the offering: 250,009,819 shares (par value of VND 10,000 per share)

2.2. Amendment of the Charter of Company

Approving the amendment and supplementation of Clause 1, Article 6 (Charter Capital, Shares, Shareholders) of the Charter of Company to reflect the new charter capital of VND 2,500,098,190,000.

3. **Approval of the registration of adjustments to the establishment and operation license and the enterprise registration certificate**

Approving the completion of procedures to register adjustments to the establishment and operation license with the SSC and to the enterprise registration certificate with the Department of Finance of Ho Chi Minh City, in order to update the Company's new charter capital of VND 2,500,098,190,000.

4. **Approval of the additional securities registration and additional trading registration**

Approving the implementation of all legal procedures for the additional registration of the newly and successfully issued shares:

4.1. Carrying out the additional securities registration for the entire 50,000,000 privately issued shares with the Vietnam Securities Depository and Clearing Corporation ("VSDC"); and requesting VSDC to place these shares under lock-up in accordance with the 03-year transfer restriction period as required by regulations.

4.2. Carrying out the additional trading registration for the entire 50,000,000 shares mentioned above on the UPCoM trading system.

Article 2: Authorization

The BOD unanimously authorizes the General Director of Phu Hung Securities Corporation to sign the relevant dossiers and documents and perform the subsequent tasks specified in Articles 1 in accordance with the regulations and requirements of the competent authorities to complete the procedures in compliance with applicable laws.

Article 3: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:
- *As above Article 3;*
- *Archived at Company Secretary;*
- *Archived at Company Secretariat.*

For and on behalf of B.O.D.

Chairman

S.G. C.T.C.P CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG QUẬN 7 - T.P HỒ CHÍ MINH

Albert Kwang-Chin Ting

APPENDIX 01: LIST AND RESULTS OF SHARE DISTRIBUTION UNDER THE PRIVATE PLACEMENT TO STRATEGIC INVESTORS

(Attached to Board of Directors' Resolution No.: 02/109_0926/NQ-HĐQT dated 27/08/2026)

No.	Name of Strategic Investor	Business Reg. No. / License No.	Shares Held Before Offering	Investor Category	Shares Subscribed	Shares Held After Offering	Ownership Ratio After Offering
1	CX Technology (VN) Corporation	0300737556	0	Foreign investor	25,000,000	25,000,000	10.00%
2	New Beam International Inc.	1909904	41,676,745	Foreign investor	10,419,000	52,095,745	20.84%
3	Freshfields Capital Corporation	80690793	1,290,486	Foreign investor	13,796,000	15,086,486	6.03%
4	New Life Service Trading Company Limited	0307382140	3,136,847	Domestic investor	785,000	3,921,847	1.57%
	TOTAL		**46,104,078**		**50,000,000**	**96,104,078**	**38.44%**

For and on behalf of B.O.D.
Chairman

S.G.P. T.L. C.T.C.P
CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
PHÚ HƯNG
QUẬN 7 - T.P HỒ CHÍ MINH

Albert Kwang-Chin Ting

PHU HUNG SECURITIES CORPORATION	SOCIALIST REPUBLIC OF VIET NAM Independence - Freedom - Happiness

No.: 03/109_0926/NQ-HĐQT — *Ho Chi Minh City, August 27th, 2026*

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2025 – 2028)

- *Pursuant to Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to Law on Securities No. 54/2019/QH14;*
- *Pursuant to the current Charter of Phu Hung Securities Corporation;*
- *Pursuant to the Meeting Minutes No. 01/109_0926/BB-HĐQT dated August 27th, 2026 of Board of Directors of the Company;*
-

RESOLVE:

Article 1: Resolution 2

The Board of Directors passed the purchase of shares of Phu Hung Life Insurance Joint Stock Company ("PHL"), main details as below:

1. Approval of the purchase of approximately 39,035,700 PHL shares, representing a 9.9% ownership interest in PHL, at an acquisition price of VND 3,000 per share, as detailed in the attached list:

Seller	Number of shares	Price	Total value
Ms. Chen Hsiao Fan	1,520,580	3,000	4,561,740,000
Ms. Lan Wan Chen	5,291,325	3,000	15,873,975,000
Ms. Tsai Hsiu Li	2,399,492	3,000	7,198,476,000
AFC Corporation	10,000,000	3,000	30,000,000,000
Fortune Orient Holding Corporation	14,000,000	3,000	42,000,000,000
Vu Hong Development Co., Ltd	5,824,303	3,000	17,472,909,000
Total	**39,035,700**		**117,107,100,000**

2. The Board of Directors authorized the General Director to implement and sign all necessary documents to complete the transactions.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;

- Archived at Company Secretary;

- Archived at Company Secretariat.

For and on behalf of B.O.D.

Chairman

S.G.P: 122 C.T.P
CÔNG TY
CỔ PHẦN
CHỨNG KHOÁN
PHÚ HƯNG
QUẬN 7 - T.P HỒ CHÍ MINH

Albert Kwang-Chin Ting

PHU HUNG SECURITIES CORPORATION

SOCIALIST REPUBLIC OF VIET NAM
Independence - Freedom - Happiness

No.: 04/109_0926/NQ-HĐQT

Ho Chi Minh City, August 27th, 2026

RESOLUTION

BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION

(TERM 2025 – 2028)

- *Pursuant to Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to Law on Securities No. 54/2019/QH14;*
- *Pursuant to the current Charter of Phu Hung Securities Corporation;*
- *Pursuant to the Meeting Minutes No. 01/109_0926/BB-HĐQT dated August 27th, 2026 of Board of Directors of the Company;*
-

RESOLVE:

Article 1: Resolution 2

The Board of Directors passed the purchase of shares of Phu Hung Life Insurance Joint Stock Company with AFC Corporation and Fortune Orient Holding Corporation.

The Board of Directors authorized the General Director to implement and sign all necessary documents to complete the transactions.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;

- Archived at Company Secretary;

- Archived at Company Secretariat.

For and on behalf of B.O.D.

Chairman

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG
S.G.P. - C.T.C.P. - QUẬN 7 - T.P HỒ CHÍ MINH

Albert Kwang-Chin Ting