CÔNG TY C° PHẦN CH NG KHOÁN NH T VI T

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VIET FIRST SECURITIES CORPORATION

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SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

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No.: ..11/2026/NQ/VFS-HĐQT

Ho Chi Minh City, November 23, 2026

RESOLUTION OF THE BOARD OF DIRECTORS OF VIET FIRST SECURITIES CORPORATION

Re: Approval of the Record Date for the Shareholder List to Exercise the Rights to Purchase Shares in the Public Offering of Additional Shares

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- *Pursuant to the Law on Enterprises No. 59/2020/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 17 June 2020 and the amendments, supplements and implementing regulations thereof;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019 and the amendments, supplements and implementing regulations thereof;*
- *Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 issued by the Government detailing the implementation of a number of articles of the Law on Securities and the amendments, supplements and implementing regulations thereof;*
- *Pursuant to the Charter of Viet First Securities Corporation;*
- *Pursuant to Resolution No. 01/2026/NQ-DHDCD dated 15 April 2026 of the 2026 Annual General Meeting of Shareholders;*
- *Pursuant to Resolution No. 29/2026/NQ/VFS-HĐQT of the Board of Directors dated 14 August 2026 approving the implementation of the plan for the offering of additional shares to existing shareholders;*
- *Pursuant to the Board of Directors' Resolution No. 35/2026/NQ/VFS-HĐQT dated 21 September 2026;*
- *Pursuant to the Certificate of Registration of Additional Public Offering of Shares No. 688/GCN-UBCK issued by the Chairman of the State Securities Commission of Vietnam on 18 November 2026;*
- *Pursuant to the Minutes of Consolidation of Written Voting Results of the Board of Directors dated November 23, 2026.*

RESOLVES:

Article 1. To approve the closing of the list of shareholders entitled to exercise their rights to purchase shares in the public offering of additional shares as follows:

- Name of the Organization: Viet First Securities Corporation
- Name of share: Shares of Viet First Securities Corporation;

- Stock Code: VFS;
- Type of share: Common share;
- Par value: VND 10,000/ share;
- The record date: 7 December 2026.
- Reasons and purpose: Exercise of the Rights to Purchase Additional Shares Offered to Existing Shareholders in a Public Offering;
- Expected number of securities to be issued: 139,900,000 shares
- Issue price: VND 10,000/share
- Exercise ratio: 100: 90.8657 (one (01) share entitles its holder to one (01) right, and 100 rights entitle the holder to purchase 90.8657 new shares).
- Rounding plan, plan for handling fractional shares, and plan for handling shares not fully subscribed:

  + The number of shares offered to existing shareholders according to the subscription ratio shall be rounded down to the nearest whole share.

  + The Board of Directors shall further distribute all fractional shares arising from the offering and all shares not subscribed for or not paid for by the existing shareholders (if any) to other investors (including other existing shareholders) at an offering price of VND 10,000 per share within the permitted timeframe under the Certificate of Registration for Public Offering of Shares(including any extension thereof – if any), ensuring that it does not fall under the cases stipulated in Clause 3, Article 42 of Decree No. 155/2020/ND-CP and Article 195 of the Law on Enterprises No. 59/2020/QH14, specifically as follows:

  - The offering to organizations, individuals and their related persons if such offering would result in their ownership exceeding the threshold requiring a public tender offer under applicable laws;
  - The offering of ten percent (10%) or more of the charter capital of the issuing organization to organizations, individuals and their related persons in a single offering or in multiple offerings and issuances conducted within the preceding twelve (12) months;
  - The offering to any organization being a subsidiary of the Company or an organization under the same parent company as the Company (if any).

  + Criteria for Selecting Investors to Be Offered the Remaining Undistributed Shares in the Offering of Additional Shares to Existing Shareholders.

  - Investors shall be domestic and foreign organizations and individuals having sufficient financial capacity to invest in VFS shares and capable of contributing to the development of the Company.
  - Investors shall satisfy the requirements applicable to shareholders of securities companies in accordance with relevant laws and regulations

+ The number of shares representing the difference between the number of shares registered for offering and the number of shares actually offered under the ratio for the exercise of pre-emptive rights (= the number of outstanding shares × 90.8657/100) shall not be distributed.

+ All fractional shares arising from the offering and all shares not subscribed for or not paid for by the existing shareholders (if any) that are further distributed to other investors (including other existing shareholders) shall be subject to a transfer restriction for a period of one (1) year from the completion date of the offering.

- Example: On the record date, a shareholder holding 1,000 shares shall receive 1,000 subscription rights and shall be entitled to subscribe for: (1,000/100) x 90.8657 = 908. 657 (shares). Applying the rounding-down principle, such shareholder shall be entitled to subscribe for 908 new shares.

- Provisions on the transfer of purchase rights:
  + Purchase rights transfer period: From 14 December 2026 to 28 December 2026.
  + Purchase rights may be transferred one (01) time.
- Provisions on the securities offered for subscription:
  + Period for subscription registration and payment of share subscription money: From 14 December 2026 to 5 January 2027
  + The securities offered for subscription are freely transferable.
- Place of implementation:
  + For deposited securities: The holders shall complete the procedures for transferring purchase rights, registering to subscribe, and paying the subscription money at the depository members where their depository accounts are opened.
  + For non-deposited securities: The holders shall complete the procedures for transferring purchase rights, registering to subscribe at: Head Office of Viet First Securities Corporation: 1st Floor, 117 - 119 - 121 Nguyen Du Street, Ben Thanh Ward, Ho Chi Minh City, Vietnam or Branch: 5th Floor, 37 Ba Trieu Street, Cua Nam Ward, Hanoi City, Vietnam *(Securities holders shall complete the relevant procedures on working days and present their citizen identity card/passport)* and paying the subscription amount into the blocked account.
- Information on the blocked account for share subscriptions:
  + Account holder: Viet First Securities Corporation;
  + Account number: 119 0000 274;
  + Opened at: Bank for Investment and Development of Vietnam JSC – Nam Ky Khoi Nghia Branch.

Article 2. To assign the Board of Management to carry out the procedures related to finalizing the list of shareholders entitled to exercise their rights to purchase shares and perform other

related tasks to implement the public offering of additional shares in accordance with applicable laws, the Company's Charter, Regulations and Rules.

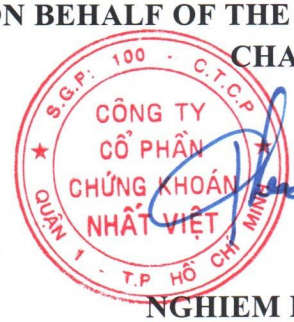
Article 3. This Resolution takes effect from the date of signing.

Members of the Board of Directors, the Board of Management and Divisions/Departments and relating individuals are responsible for executing this Resolution in compliance with legal regulations and the Company's Charter.

Recipients:

- *As mentioned in Article 3;*
- *BOD;*
- *Filing.*

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



NGHIEM PHUONG NHI