TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 743/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

Công ty Cổ Phần Chứng Khoán KAFI
Digitally signed by Công ty Cổ Phần Chứng Khoán KAFI
DN: C=VN, CN=Công ty Cổ Phần Chứng Khoán KAFI, L=Quận 1, ST=HỒ CHÍ MINH, UID=MST:0304763613
Reason: I am the author of this document
Location:
Date: 2026.11.23 19:23:01+07'00'
Foxit PDF Reader Version: 2026.1.1

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHDKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant HPG/KAFI/6M/2601
2. Name (ticker) of the underlying security: HPG
3. Issuer of the underlying security: Hoa Phat Group Joint Stock Company
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 2:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 744/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant MBB/KAFI/6M/2603
2. Name (ticker) of the underlying security: MBB
3. Issuer of the underlying security: Military Commercial Joint Stock Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 2:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 745/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant VPB/KAFI/6M/2603
2. Name (ticker) of the underlying security: VPB
3. Issuer of the underlying security: Vietnam Prosperity Joint Stock Commercial Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 4:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 746/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant VRE/KAFI/6M/2603
2. Name (ticker) of the underlying security: VRE
3. Issuer of the underlying security: Vincom Retail Joint Stock Company
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 4:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 747/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant TCB/KAFI/6M/2603
2. Name (ticker) of the underlying security: TCB
3. Issuer of the underlying security: Vietnam Technological and Commercial Joint Stock Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 4:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 748/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant SHB/KAFI/6M/2601
2. Name (ticker) of the underlying security: SHB
3. Issuer of the underlying security: Saigon - Hanoi Commercial Joint Stock Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 2:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 749/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant SHB/KAFI/6M/2602
2. Name (ticker) of the underlying security: SHB
3. Issuer of the underlying security: Saigon - Hanoi Commercial Joint Stock Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 2:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 750/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant ACB/KAFI/6M/2601
2. Name (ticker) of the underlying security: ACB
3. Issuer of the underlying security: Asia Commercial Joint Stock Bank
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 2:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 751/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant MWG/KAFI/6M/2603
2. Name (ticker) of the underlying security: MWG
3. Issuer of the underlying security: Mobile World Investment Corporation
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 8:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 753/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHDKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant MSN/KAFI/6M/2603
2. Name (ticker) of the underlying security: MSN
3. Issuer of the underlying security: Masan Group Corporation
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 6:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 752/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, November 23, 2026

CERTIFICATE

Registration for the Offering of Covered Warrants

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance stipulating the functions, tasks, powers and organisational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated 22 December 2025 of the Minister of Finance providing guidance on covered warrants;

Based on the Securities Business Operation Licence No. 51/UBCK-GPHĐKD dated 29 December 2006 issued by the Chairperson of the State Securities Commission to Royal Securities Corporation (now KAFI Securities Corporation);

Based on the dossier for registration of the offering of covered warrants submitted by KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KAFI Securities Corporation with the following details:

1. Name of the warrant: Covered Warrant FPT/KAFI/6M/2603
2. Name (ticker) of the underlying security: FPT
3. Issuer of the underlying security: FPT Corporation
4. Offering volume: 10,000,000 warrants
5. Conversion ratio: 8:1

6. Term of the warrant: 6 months
7. Type of warrant: Call
8. Style of warrant: A warrant under which the warrant holder may exercise the right only on the maturity date
9. Method of settlement upon exercise: Cash settlement
10. Escrow bank for collateral securing payment: Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch

Article 2. KAFI Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall at the same time be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier.

Article 3. This Certificate takes effect from the date of signing.

Article 4. This Certificate is made in 05 originals: 01 original issued to KAFI Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository and Clearing Corporation; 01 original sent to the Vietnam Joint Stock Commercial Bank for Industry and Trade – Hanoi City Branch.

ON BEHALF OF THE CHAIRPERSON
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga