



Translation

KAFI SECURITIES
CORPORATION

No.: 2599.26

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Ho Chi Minh City, September 16th, 2026

REPORT

ON CORPORATE GOVERNANCE

(First Six Months of 2026)

To: - The State Securities Commission of Vietnam;
- Vietnam Exchange/Ha Noi Exchange.

Công ty Cổ
Phân Chứng
Khoán KAFI

Digitally signed by Công ty Cổ Phần
Chứng Khoán KAFI
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- Company name: KAFI SECURITIES CORPORATION (the "**Company**")
- Head office address: Room 1403, 14th Floor, Sailing Tower, 111A Pasteur Street, Sai Gon Ward, Ho Chi Minh City, Vietnam
- Telephone: (028) 7102.9898
- Charter capital: VND 7,500,000,000,000 (in words: seven trillion five hundred billion Vietnamese dong)
- Stock code: KAI Member code: 049
- Corporate governance model: General Meeting of Shareholders ("**GMS**"), Board of Directors ("**BOD**"), Supervisory Board ("**SB**") and General Director ("**GD**")
- Implementation of the internal audit function: In accordance with applicable regulations

I. Operations of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the GMS (including GMS Resolutions adopted by written consultation):

No.	Resolution/ Decision No.	Date	Contents
1	1001.2026.GSM	09/04/2026	The 2026 Annual General Meeting of Shareholders was held to approve the following matters: 1.0. Approval of reports and proposals of the Board of Directors and reports and proposals of the Supervisory Board: 1.1. Approval of the 2025 business performance report and the 2026 business plan. 1.2. Approval of the 2025 corporate governance report. 1.3. Approval of the audited financial statements for 2025. 1.4. Approval of the audited report on the use of proceeds in the event of any change to the plan for use of proceeds raised from the offering.



No.	Resolution/ Decision No.	Date	Contents
			1.5. Approval of the proposed profit distribution plan for 2025. 1.6. Approval of the proposed dividend payment plan for 2026. 1.7. Approval of the proposed public offering of shares and issuance of shares under an employee stock option program. 1.8. Approval of proposed amendments and supplements to the Regulations on the Organization and Operation of the Board of Directors, the Regulations on the Organization and Operation of the Supervisory Board, and the Internal Regulations on Corporate Governance. 1.9. Approval of the report on remuneration, bonuses, other benefits and use of the operating budget for 2025, and the proposal on remuneration, bonuses, other benefits and the operating budget for 2026 of the Board of Directors and the Supervisory Board. 1.10. Approval of the report disclosing the list of related persons and related interests. 1.11. Approval of the proposal on dismissal and adjustment of the number of Board of Directors members. 1.12. Approval of the Supervisory Board's appraisal report on the Company's business performance, the performance of the Board of Directors and the General Director; and the self-assessment report on the performance of the Supervisory Board and Supervisors in 2025. 1.13. Approval of the proposed list of independent audit firms and authorization for the Board of Directors to select the independent audit firm. 2.0. Authorization for the Board of Directors to implement the matters approved by the General Meeting of Shareholders and proactively decide related matters in compliance with applicable laws and the Company's Charter.

II. Board of Directors (Report for the First Six Months of 2026):

1. Information on BOD members:

No.	BOD member	Position (independent BOD member, non-executive BOD member)	Date of appointment/cessation as a BOD member/independent BOD member	
			Appointment date, Reappointment date	Dismissal date
1	Mr. Le Quang Trung	Chairman of the BOD - Non-executive BOD member	26/01/2022 25/04/2023	09/04/2026
2	Mr. Nguyen Viet Cuong	Vice Chairman of the BOD - Non-executive BOD member	31/03/2025	08/04/2026
		Chairman of the BOD - Non-executive BOD member	09/04/2026	-
3	Mr. Tran Tuan Minh	Non-executive BOD member	26/01/2022 25/04/2023	-
4	Mr. Ha Hoang Dung	Non-executive BOD member	26/01/2022 25/04/2023	-
5	Mr. Trinh Thanh Can	Executive BOD member	24/11/2025	-
6	Mr. Diep The Anh	Executive BOD member	24/11/2025	-

2. BOD meetings

During the first six months of 2026, the BOD held 16 meetings and conducted 21 written consultations, as detailed below:

No.	BOD member	Number of BOD meetings attended/opinions submitted	Attendance/opinion submission rate	Reason for absence/failure to submit an opinion
1	Mr. Le Quang Trung	17/37	100% (calculated based - on the term of office)	
2	Mr. Nguyen Viet Cuong	37/37	100%	-
3	Mr. Tran Tuan Minh	37/37	100%	-
4	Mr. Ha Hoang Dung	37/37	100%	-
5	Mr. Trinh Thanh Can	37/37	100%	-
6	Mr. Diep The Anh	37/37	100%	-

3. Supervision by the BOD over the Board of Management

- The BOD's supervision over the Board of Management was carried out in accordance with the Charter, the Internal Regulations on Corporate Governance, and the Regulations on the Organization and Operation of the BOD through the following methods:
 - On a quarterly basis, the BOD held regular meetings to receive business updates and to review and assess the implementation of BOD resolutions, matters delegated by the BOD to the General Director, and the performance by the General Director of the assigned duties and powers. In addition, the BOD held extraordinary meetings to review and assess the action plans of the Board of Management, thereby ensuring that the directions set by the BOD were properly implemented in compliance with applicable laws and the Company's internal regulations. The BOD also

participated in directing and supervising management activities, as reflected in the issuance of 49 BOD Resolutions during the first six months of 2026;

- The BOD approved proposals, submissions and plans of the Board of Management as the basis for the Board of Management to carry out the management and operation of the Company;
 - The BOD issued internal regulations within its authority as the basis for the Board of Management to operate the Company;
 - The BOD established a periodic reporting mechanism, including quarterly business operation reports; corporate governance reports; quarterly, semi-annual and annual financial statements; and reports by professional area, activity or project as requested by the BOD;
 - The BOD adjusted the regulations on the Company's functions, duties and operating model to meet operational needs and to enable the Board of Management to proactively perform executive and internal governance activities.
- The BOD acknowledged the achievements resulting from positive developments in business performance and the implementation of strategic directions by the Board of Management during the first six months of 2026.
- The BOD assessed that the Board of Management had exercised its assigned powers and duties in accordance with applicable laws, the Company's Charter, and the Resolutions/Decisions of the GMS and the BOD.
4. Activities of committees under the BOD (if any): None.

5. BOD Resolutions/Decisions (Report for the First Six Months of 2026)

No.	Document No.	Date	Issued Resolution/Decision	Approval rate
1	7123.2026.BOD(1)	05/01/2026	Approval of the issuance of the Project Bonus Policy applicable to personnel participating in technology projects and the Deputy General Director in charge of Technology	100%
	7123.2026.BOD(2)	05/01/2026	Approval of the renewal of the employment contract for the Human Resources Director and assignment of personnel in charge of the Administration Block	100%
2	6908.2026.BOD	09/01/2026	Approval of the proposal for transactions with related persons	100%
3	0023.2025.BOD	17/01/2026	Regular meeting for the fourth quarter of 2025	100%
4	3822.2026.BOD	20/01/2026	Approval of the issuance of the 2026 Lunar New Year Bonus Policy	100%
5	1298.2026.BOD(1)	30/01/2026	Approval of the issuance of the Long-term Risk Policy Regulations and the 2026 Risk Policy Regulations	100%
	1298.2026.BOD(2)	30/01/2026	Approval of the recruitment and appointment of the Retail Securities Business Director	100%
6	0083.2026.BOD(1)	05/02/2026	Approval of the budget for cooperation with service providers under the 2026 New Customer Acquisition Plan	100%
	0083.2026.BOD(2)	05/02/2026	Approval of the 2026 covered warrant offering (Tranche 1)	100%
	0083.2026.BOD(3)	05/02/2026	Approval of the budget for renewal of the office lease on the 10th floor of Sailing Tower	100%

7	3822.2026.BOD	11/02/2026	Approval of the public company registration dossier in 2026	100%
8	2280.2026.BOD	12/02/2026	Approval of the dismissal and termination of the employment contract for the Product Development and Management Director	100%
9	8900.2026.BOD(1)	26/02/2026	Approval of the proposed record date for existing shareholders to exercise their rights and the plan to organize the 2026 Annual General Meeting of Shareholders	100%
	8900.2026.BOD(2)	26/02/2026	Approval of the renewal of the employment contract for the Digital Business Director, concurrently in charge of the Communications and Brand Block	100%
10	0730.2026.BOD(1)	06/03/2026	Approval of the recruitment and appointment of the Operations Director	100%
	0730.2026.BOD(2)	06/03/2026	Approval of the recruitment and appointment of the Transformation Office Director	100%
11	9067.2026.BOD	12/03/2026	Approval of the assignment of the Person in Charge of Accounting during the Chief Accountant's maternity leave	100%
12	4882.2026.BOD	19/03/2026	Approval of the organization plan and meeting materials for the 2026 Annual General Meeting of Shareholders	100%
13	5390.2026.BOD	20/03/2026	Approval of the issuance of the Regulations on Functions, Duties and Operating Model	100%
14	3245.2026.BOD	20/03/2026	Approval of a credit facility at Vietnam International Commercial Joint Stock Bank	100%
15	6456.2026.BOD(1)	01/04/2026	Approval of the dismissal and termination of the employment contract for the Operations Director	100%
	6456.2026.BOD(2)	01/04/2026	Approval of the budget for the business performance measurement platform in 2026	100%
16	2076.2026.BOD	03/04/2026	Approval of the change to the plan for use of proceeds and the report on the actual use of proceeds raised from the share offering to existing shareholders	100%
17	6759.2026.BOD	04/04/2026	Regular meeting for the first quarter of 2026	100%
18	2304.2026.BOD	09/04/2026	Election of the Chairman of the Board of Directors	100%
19	3107.2026.BOD	09/04/2026	Approval of the reappointment of the General Director	100%
20	5403.2026.BOD	14/04/2026	Approval of a facility at Vietnam Joint Stock Commercial Bank for Industry and Trade - Hanoi Branch	100%
21	6669.2026.BOD(1)	15/04/2026	Approval of exceptions for investment activities exceeding risk limits	100%
	6669.2026.BOD(2)	15/04/2026	Approval of adjustments to the dossier for issuance of covered warrants ("CW") in Tranche 1 of 2026	100%
22	1890.2026.BOD(1)	22/04/2026	Approval of the budget for leasing a new office	100%
	1890.2026.BOD(2)	22/04/2026	Approval of the early termination of the office lease at Vinacomin Tower	100%

23	7439.2026.BOD(1)	23/04/2026	Approval of the renewal of the employment contract with Ms. Nguyen Thi Thu Phuong	100%
	7439.2026.BOD(2)	23/04/2026	Approval of the dismissal and termination of the employment contract with Mr. Nguyen Anh Vu	100%
24	6210.2026.BOD	06/05/2026	Approval of adjustments to the dossier for issuance of covered warrants ("CW") in Tranche 1 of 2026 in relation to the offering price range	100%
25	4111.2026.BOD	22/05/2026	Approval of the issuance of the Job Architecture Management Regulations and the Human Resources Approval Authority Framework Regulations	100%
26	7878.2026.BOD	18/05/2026	Approval of a credit facility at the Joint Stock Commercial Bank for Investment and Development of Vietnam - Thai Ha Branch	100%
27	0938.2026.BOD	20/05/2026	Approval of the registration of a change of legal representative	100%
28	3908.2026.BOD(1)	25/05/2026	Renewal of the employment contract with Mr. Le Thanh Viet Hoang	100%
	3908.2026.BOD(2)	25/05/2026	Approval of the dismissal and termination of the employment contract with Mr. Ho Quoc Thao	100%
29	9909.2026.BOD	4/6/2026	Approval of an exception for investment activities exceeding risk limits in April 2026	100%
30	5776.2026.BOD	15/6/2026	Approval of the adjustment of the personnel benefits applicable to Mr. Tran Nhat Tien	100%
31	9829.2026.BOD	18/6/2026	Approval of an exception for investment activities exceeding risk limits in June 2026	100%
32	9830.2026.BOD(1)	18/6/2026	Approval of adjustments to the risk appetite and risk limits for investments in convertible corporate bonds	100%
	9830.2026.BOD(2)	18/6/2026	Approval of the issuance of the Regulations on Delegation of Approval Authority in the business of other valuable papers	100%
33	9832.2026.BOD	18/6/2026	Approval of adjustments to certain matters relating to the lease of the new office at 27B Phung Khac Khoan Street, Tan Dinh Ward, Ho Chi Minh City	100%
34	9831.2026.BOD	19/6/2026	Approval of the issuance of Financial Regulations No. 1003.FS, version 2026(1)	100%
35	9834.2026.BOD	26/6/2026	Approval of the budget for construction of the new office at 27B Phung Khac Khoan Street, Tan Dinh, Ho Chi Minh City	100%
36	9835.2026.BOD(1)	26/6/2026	Approval of a credit facility at Military Commercial Joint Stock Bank - Transaction Office No. 2 Branch	100%
	9835.2026.BOD(2)	26/6/2026	Approval of the independent audit firm providing audit and review services to the Company in 2026	100%
37	7543.2026.BOD	29/06/2026	Approval of the cost of renewing Microsoft 365 licenses for the period from May 2026 to May 2027	100%

III. Supervisory Board (Report for the First Six Months of 2026)

1. Information on Supervisory Board members

No.	Supervisory Board member	Position	Appointment date, Reappointment date	Professional qualification
1	Mr. Nguyen Thanh Hai	Head of the Supervisory Board	26/01/2022 25/04/2023	Bachelor's degree in Accounting and Auditing, Banking
2	Mr. Tran Xuan Canh	Supervisor	26/01/2022 25/04/2023	Bachelor's degree in Economics
3	Ms. Mai Hien Van	Supervisor	26/01/2022 25/04/2023	Bachelor's degree in Finance and Banking

2. Meetings of the Supervisory Board

During the first six months of 2026, the Supervisory Board held two meetings from January 1, 2026 to June 30, 2026, as detailed below:

No.	Supervisory Board member	Number of meetings attended	Meeting attendance rate	Voting rate	Reason for absence
1	Mr. Nguyen Thanh Hai	02/02	100%	100%	-
2	Mr. Tran Xuan Canh	02/02	100%	100%	-
3	Ms. Mai Hien Van	02/02	100%	100%	-

3. Supervision by the Supervisory Board over the BOD, the Board of Management and shareholders:

- The Supervisory Board maintained a working mechanism in accordance with applicable regulations and coordinated with the BOD and the Board of Management in performing its duties, thereby ensuring that the Company's operations complied with applicable laws and the Charter;
- The principal supervisory activities of the Supervisory Board included:
 - Supervising the BOD and the Board of Management in implementing the Resolutions of the GMS and the BOD;
 - Continuously supervising compliance with the financial regulations applicable to securities companies under Articles 26, 27 and 28 of Circular No. 121/2020/TT-BTC on operations of securities companies (as amended and supplemented by Circular No. 68/2024/TT-BTC and Circular No. 08/2026/TT-BTC), including restrictions on borrowings, lending and investments;
 - Controlling processes and operations and monitoring the Company's compliance ratios;
 - Inspecting and reviewing the progress of the Company's projects;
 - Regularly monitoring financial performance and reviewing the Company's quarterly, semi-annual and annual financial statements.
- 4. Coordination between the Supervisory Board and the BOD, the Board of Management and other managers: attending all BOD meetings to which it was invited and consulting with the Internal Control Department to assess the compliance of business activities with internal policies and procedures.
- 5. Other activities of the Supervisory Board (if any): assessing compliance with applicable laws,

controlling asset-safeguarding measures of relevant departments, and proposing the preparation of necessary reports for business and compliance purposes.

IV. Board of Management

No.	Member of the Board of Management	Date of birth	Professional qualification	Date of appointment to the Board of Management
1	Mr. Trinh Thanh Can	27/03/1978	Bachelor's degree in Finance	08/04/2022
2	Mr. Diep The Anh	08/08/1980	Master's degree in Business Administration and Information Technology Systems	04/07/2022

V. Chief Accountant

No.	Full name	Date of birth	Professional qualification	Appointment/dismissal date(*)
1	Nguyen Thi Thu Nhi	09/09/1990	Bachelor's degree in Accounting	24/06/2023

(*) Ms. Nguyen Thi Thu Nhi temporarily ceased performing the duties and powers of the Chief Accountant during her maternity leave. Ms. Phan Thi Kim Hue was assigned to concurrently act as the Person in Charge of Accounting and temporarily perform the duties and powers of the Chief Accountant from 12/03/2022 to 24/06/2023.

VI. Corporate governance training

The Company continued to improve the quality of its governance, enhance transparency, and ensure full compliance with applicable laws and good corporate governance practices. During the reporting period, the Company organized specialized corporate governance training programs for relevant personnel. Most BOD members, Supervisory Board members, Internal Control personnel and Legal personnel completed the corporate governance training program organized by the Securities Research and Training Center under the State Securities Commission of Vietnam (SRTC). During the first six months of 2026, Mr. Le Thanh Viet Hoang - Risk Management Director - also completed the course and was awarded a certificate by SRTC. Completion of these training courses contributed to improving professional capacity and advisory skills, supporting the BOD and the executive management in governance, supervision and decision-making, while enabling the Company to strengthen its governance and risk control systems and better meet corporate governance requirements under applicable laws and good governance practices. The Company is committed to continuing its investment in specialized training programs to build a strong leadership team capable of meeting future sustainable development needs.

VII. List of the Company's related persons (Report for the First Six Months of 2026) and transactions between the Company's related persons and the Company

1. List of the Company's related persons.

Details are provided in attached Appendix 01.

2. Transactions between the Company and its related persons; or between the Company and major shareholders, internal persons or related persons of internal persons.

Details are provided in attached Appendix 02.

3. Transactions between internal persons of the Company, related persons of internal persons and

subsidiaries or companies controlled by the Company: None.

4. Transactions between the Company and other parties.

4.1 Transactions between the Company and a company where BOD members, Supervisory Board members, General Director or other managers are or were founding members, BOD members or executive Directors (General Director) within the last three (03) years (calculated as of the reporting date): None.

4.2 Transactions between the Company and a company in which a related person of a BOD member, Supervisory Board member, General Director or other manager is a BOD member or executive Director (General Director): None.

4.3 Other transactions of the Company (if any) that may provide material or non-material benefits to BOD members, Supervisory Board members, the General Director or other managers: None.

VIII. Share transactions of internal persons and related persons of internal persons (Report for the First Six Months of 2026)

1. List of the Company's internal persons and their related persons.

Details are provided in attached Appendix 03.

2. Transactions in the Company's shares by internal persons and their related persons.

Details are provided in attached Appendix 04.

IX. Other matters requiring attention: None.

CHAIRMAN OF THE BOARD OF DIRECTORS

(Signed and sealed)

NGUYEN VIET CUONG





Corporate Governance Report

Appendix 01: List of the Company's Related Persons (Report for the First Six Months of 2026)

No	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
1.0	Le Quang Trung		Chairman of the BOD			26/01/2022	09/04/2026	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023 Dismissed under Resolution No. 1001.2026.GSM dated April 9, 2026	Company manager, internal person, legal representative
1.1	Le Viet Hong	-	None			26/01/2022	As above	-	Biological father
1.2	Tran Thi Dung	-	None			26/01/2022	As above	-	Biological mother
1.3	Le Minh Nhi	-	None			26/01/2022	As above	-	Biological child
1.4	Le Ha Chi	-	None			26/01/2022	As above	-	Biological child



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
1.5	Le Minh	-	None			26/01/2022	As above	-	Biological child
1.6	Le Xuan Nga	-	None			26/01/2022	As above	-	Older brother
1.7	Le Xuan Sinh	-	None			26/01/2022	As above	-	Older brother
1.8	Le Vu Thanh	-	None			26/01/2022	As above	-	Older brother
1.9 v	Le Thi Phuong Nam	-	None			26/01/2022	As above	-	Older sister
1.10	Le Thi Hai	-	None			26/01/2022	As above	-	Older sister
1.11	Le Thi Ha	-	None			26/01/2022	As above	-	Older sister

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
1.12	Le Thi Hang	-	None			26/01/2022	As above	-	Older sister
1.13	Nguyen Ba Uan	-	None			26/01/2022	As above	-	Brother-in-law
1.14	Nguyen Binh Ha	-	None			26/01/2022	As above	-	Brother-in-law
1.15	Nguyen Trong Chinh	-	None			26/01/2022	As above	-	Brother-in-law
1.16	Phung Ba Tuong	-	None			26/01/2022	As above	-	Brother-in-law
1.17	Nguyen Thi Lan	-	None			26/01/2022	As above	-	Sister-in-law

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
1.18	Ho Thi Kim Thoa	-	None			26/01/2022	As above	-	Sister-in-law
1.19	Le Thu Ha	-	None			26/01/2022	As above	-	Sister-in-law
2.0	Nguyen Viet Cuong	-	Vice Chairman of the BOD (before 09/04/2026) Chairman of the BOD (from 09/04/2026)			31/03/2025	-	Appointed under Resolution No. 1001.2025.GSM dated March 31, 2025 Appointed under Resolution No. 2304.2026.BOD dated April 9, 2026	Company manager, internal person, legal representative
2.1	Le Thu Thuy	-	None			31/03/2025	-	-	Wife
2.2	Nguyen Viet Dang Khoi	-	None			31/03/2025	-	-	Biological child
2.3	Dao Thuy Duyen	-	None			31/03/2025	-	-	Biological mother

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No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
2.4	Nguyen Thi Kim Hong Thinh	-	None			31/03/2025	-	-	Mother-in-law
2.5	Nguyen Duc Trung	-	None			31/03/2025	-	-	Older brother
2.6	Nguyen My Trang Huong	-	None			31/03/2025	-	-	Younger sibling
2.7	Tran Mong Thuy Vy	-	None			31/03/2025	-	-	Sister-in-law
3.0	Tran Tuan Minh		BOD member			26/01/2022	-	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023	Company manager, internal person
3.1	Huynh Thi Hong Thuy		None			26/01/2022	-	-	Wife

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
3.2	Trần Khanh Đan	-	None			26/01/2022	-	-	Biological child
3.3	Trần Hoàng Dương	-	None			26/01/2022	-	-	Biological child
3.4	Vũ Thị Lê	-	None			26/01/2022	-	-	Biological mother
3.5	Huỳnh Thanh	-	None			26/01/2022	-	-	Father-in-law
3.6	Mai Thị Nguyệt	-	None			26/01/2022	-	-	Mother-in-law
3.7	Trần Tuấn Long		None			26/01/2022	-	-	Sibling



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No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
3.8	Nguyen Thi Nguyen	-	None			26/01/2022	-	-	Sister-in-law
4.0	Ha Hoang Dung		BOD member			26/01/2022	-	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023	Company manager, internal person
4.1	Pham Dac Bi	-	None			26/01/2022	-	-	Father-in-law
4.2	Vu Thi Hao		None			26/01/2022	-	-	Mother-in-law
4.3	Pham Thu Ha		None			26/01/2022	-	-	Wife

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
4.4	Ha Minh Duc	-	None			26/01/2022	-	-	Biological child
4.5	Ha Ngoc Minh	-	None			26/01/2022	-	-	Biological child
4.6	Ha Minh Hieu	-	None			26/01/2022	-	-	Biological child
4.7	Ha Hoang Anh	-	None			26/01/2022	-	-	Sibling
4.8	Pham Vu Bao	-	None			26/01/2022	-	-	Sibling of



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No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
									spouse
4.9	Yana Sompetch	-	None			26/01/2022	-	-	Brother-in-law
5.0	Trinh Thanh Can	049C270378	General Director, BOD member			08/04/2022	-	Appointed under Resolution No. 09B/2022/NQ- HDQT dated April 8, 2022 Appointed under Resolution No. 1004.2025.GSM dated November 24, 2025	Company manager, internal person, legal representative
5.1	Tran Phuong Thao	-	None			08/04/2022	-	-	Wife
5.2	Trinh Minh Tri	-	None			08/04/2022	-	-	Child
5.3	Trinh Nhu May	-	None			08/04/2022	-	-	Child

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
5.4	Trinh Dinh Ban		None			08/04/2022	-	-	Father
5.5	Trinh Dinh Tue	-	None			08/04/2022	-	-	Older brother
5.6	Trinh Hong Nhut	-	None			08/04/2022	-	-	Older brother
5.7	Tran Tien Duc	-	None			08/04/2022	-	-	Father-in-law
5.8	Nguyen Thi Hanh	-	None			08/04/2022	-	-	Mother-in-law



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
5.9	Do Song Hong	-	None			08/04/2022	-	-	Sister-in-law
6.0	Diep The Anh	049C250626	Deputy General Director, BOD member			04/07/2022	-	Appointed under Resolution No. 0948.2022.BOD dated July 4, 2022 Appointed under Resolution No. 1004.2025.GSM dated November 24, 2025	Company manager, internal person
6.1	Mr. Thi Thanh Thao	-	None			24/11/2025	-	-	Wife
6.2	Diep Bao Hau	-	None			24/11/2025	-	-	Father
6.3	Nguyen Thi Ngoc	-	None			24/11/2025	-	-	Mother

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
	Trinh								
6.4	Mr. Ich Dung	-	None			24/11/2025	-	-	Father-in-law
6.5	Diep Thao Linh	-	None			24/11/2025	-	-	Child
6.6	Diep Thao Anh	-	None			24/11/2025	-	-	Child
7.0	Nguyen Thanh Hai	049C068789	Head of the Supervisory Board			26/01/2022	-	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023	Internal person



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
7.1	Nguyen Van Men	-	None			26/01/2022	-	-	Biological father
7.2	Nguyen Thi Huong	-	None			26/01/2022	-	-	Biological mother
7.3	Nguyen Thanh Vu	-	None			26/01/2022	-	-	Older brother
7.4	Nguyen Thuy Hoai Thu	-	None			26/01/2022	-	-	Sister-in-law
7.5	Nguyen Thanh Thong	-	None			26/01/2022	-	-	Older brother

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
7.6	Nguyen Hai Long	-	None			26/01/2022	-	-	Biological child
8.0	Mai Hien Van		Supervisor			26/01/2022	-	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023	Internal person
8.1	Mai Xuan Dung	-	None			26/01/2022	-	-	Biological father
8.2	Tran Van Thanh	-	None			26/01/2022	-	-	Father-in-law



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
8.3	Nguyen Thi Minh Hien		None			26/01/2022	-	-	Biological mother
8.4	Nguyen Thuy Nga		None			26/01/2022	-	-	Mother-in-law
8.5	Tran Hong Quan		None			26/01/2022	-	-	Husband
8.6	Tran Minh Huy	-	None			26/01/2022	-	-	Biological child
8.7	Mai Hien Trang	-	None			26/01/2022	-	-	Sibling

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
8.8	Fabien Maurice Andre Jaillet	-	None			26/01/2022	-	-	Brother-in-law
9.0	Tran Xuan Canh		Supervisor			26/01/2022	-	Appointed under Resolution No. 01/2022/NQ-DHDCD dated January 26, 2022 Reappointed under Resolution No. 1002.2023.GSM dated April 25, 2023	Internal person
9.1	Tran Xuan Khu	-	None			26/01/2022	-	-	Biological father
9.2	Vu Thanh Phoi	-	None			26/01/2022	-	-	Father-in-law
9.3	Doan Thi Lan	-	None			26/01/2022	-	-	Mother-in-law



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
9.4	Vu Hai An	-	None			26/01/2022	-	-	Wife
9.5	Tran Tuan Dat	-	None			26/01/2022	-	-	Biological child
9.6	Tran Tuan Vu	-	None			26/01/2022	-	-	Biological child
9.7	Tran Ngoc Hoang		None			26/01/2022	-	-	Younger sibling
9.8	Nguyen Vu Phuc Hien		None			26/01/2022	-	-	Sister-in-law



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
10.0	Nguyen Anh Vu		Legal and Compliance Director, Authorized Person for Information Disclosure			05/04/2022	01/05/2026	Authorized under Power of Attorney No. 01/2022/GUQ-GMC Dismissed under Resolution No. 7439.2026.BOD(2) dated April 23, 2026	Internal person, legal representative
10.1	Pham Thien Trang	-	None			29/07/2025	As above	-	Wife
10.2	Nguyen Vu Dinh Nguyen	-	None		A12.25 Apartment Building Emerald, No. 2 N4 Street, Son Ky, Tan Phu, Ho Chi Minh City	29/07/2025	As above	-	Biological child
10.3	Nguyen Vu Dang Khoa	-	None			29/07/2025	As above	-	Biological child
10.4	Nguyen Van Kim	-	None			29/07/2025	As above	-	Biological father



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
10.5	Nguyen Thi Dau	-	None			29/07/2025	As above	-	Biological mother
10.6	Nguyen Thi Anh Nguyet	-	None			29/07/2025	As above	-	Mother-in-law
10.7	Nguyen Thi Anh	-	None			29/07/2025	As above	-	Older sister
10.8	Nguyen Thi Thu	-	None			29/07/2025	As above	-	Older sister

Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
				I					
10.9	Nguyen Thi Nguyet	-	None			29/07/2025	As above	-	Older sister
10.10	Doan Trung Kien	-	None			29/07/2025	As above	-	Brother-in-law
11.0	Nguyen Thi Thu Nhi	049C090990	Chief Accountant			24/06/2023	-	Appointed under Resolution No. 0903.2023.BOD(1) dated June 23, 2023	Internal person
12.0	Tran Nhat Tien	-	Chief Financial Officer			16/09/2025	-	Appointed under Resolution No. 0894.2025.BOD(2) dated September 16, 2025	Internal person



Corporate Governance Report

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	Legal identification document No., date and place of issue	Head office/contact address	Date of becoming a related person	Date of ceasing to be a related person	Reason	Relationship with the Company
13.0	Phan Thi Kim Hue		Person in Charge of Accounting			12/03/2026	-	Assigned under Resolution No. 9067.2026.BOD dated March 12, 2026	Internal person
14.0	Phan Thi Hong Tham		Authorized Person for Information Disclosure			22/04/2026	-	Authorized under Power of Attorney No. 1684.26 dated April 22, 2026	Internal person
15.0	GENTLE SUN INVESTMENTS LIMITED	-	None			12/07/2022	-	Share ownership	Shareholder holding more than 10% of the Company's voting shares





Corporate Governance Report

Appendix 02. Transactions between the Company and its related persons; or between the Company and major shareholders, internal persons or related persons of internal persons (Report for the First Six Months of 2026)

No	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
1	Le Quang Trung	Internal person			From 01/01/2026 to 08/04/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Other payables Quantity: 0 Value: 730.787 VND Securities transactions Service fees: 17.683.790 VND Transactions in valuable papers: - Hi-Depo: Quantity: 8 Value: 17.019.005.478 VND	The reported quantity and total transaction value represent the aggregate quantity and value of transactions during the first six months of 2026 corresponding to the relevant term of office.
2	Nguyen Viet Cuong	Internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions Quantity: 25 Value: 10.265.445.198 VND Loan interest payments Quantity: 40 Value: 33.561.638 VND Other payables Quantity: 24 Value: 2.887 VND Securities transactions Service fees: 11.055.438 VND Transactions in valuable papers: SuperAccount: Quantity: 102 Value: 9.345.370.297 VND	As above



Corporate Governance Report

No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
							888.363.809 888.363.809	
3	Tran Mong Thuy Vy	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Transactions in valuable papers: 1. Hi-Depo: Quantity: 56 Value: 10.715.653.983 VND 2. SuperAccount: Quantity: 155 Value: 7.360.673.853 VND	As above
4	Tran Tuan Minh	Internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions Quantity: 6 Value: 2.792.368.602 VND Loan interest payments Quantity: 20 Value: 63.263.757 VND Other payables Quantity: 4 Value: 0 VND Securities transactions Service fees: 2.498.864 VND Transactions in valuable papers: 1. Hi-Depo: Quantity: 1 Value: 1.000.000.000 VND 2. SuperAccount: Quantity: 61 Value: 1.516.065.926 VND	As above
5	Tran Tuan Long	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions Quantity: 37 Value: 624.151.467 VND Loan interest payments Quantity: 76	As above



Corporate Governance Report

No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
							Value: 5.622.090 VND Other payables Quantity: 8 Value: 0 VND Securities transactions Service fees: 15.363 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 48 Value: 491.872.315 VND	
6	Huynh Thi Hong Thuy	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions Quantity: 36 Value: 1.207.612.383 VND Loan interest payments Quantity: 110 Value: 18.119.819 VND Other payables Quantity: 8 Value: 654.746.783 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 85 Value: 1.624.734.373 VND	As above
7	Tran Khanh Dan	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Transactions in valuable papers: 1. SuperAccount: Quantity: 5 Value: 5.081.608 VND	As above

Corporate Governance Report

No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
8	Ha Hoang Dung	Internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Other payables Quantity: 0 Value: 50.425 VND Securities transactions Service fees: 1.065.867 VND	As above
9	Ha Hoang Anh	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Transactions in valuable papers: 1. SuperAccount: Quantity: 21 Value: 1.107.498.072 VND	As above
10	Nguyen Thanh Hai	Internal person			From 01/01/2026 to 30/06/2026	-	Securities transactions Service fees: 118.335 VND	As above
11	Tran Xuan Canh	Internal person			From 01/01/2026 to 30/06/2026	-	Securities transactions Service fees: 44.493 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 141 Value: 3.417.451.740 VND	As above



Corporate Governance Report

No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
							637.716.772	
12	Tran Xuan Khu	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Transactions in valuable papers: 1. SuperAccount: Quantity: 5 Value: 8.016.329 VND 637.716.772	As above
13	Tran Ngoc Hoang	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Transactions in valuable papers: 1. SuperAccount: Quantity: 45 Value: 1.553.281.405 VND	As above
14	Mai Hien Van	Internal person			From 01/01/2026 to 30/06/2026	-	Borrowing transactions Quantity: 46 Total value: 8.005.972.155 VND Loan interest payments Quantity: 58 Value: 42.656.896 VND Other payables Quantity: 76 Value: 60.274 VND Securities transactions Service fees: 3.477.145 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 18 Value: 10.044.030.658 VND	As above
15	Nguyen Thuy Nga	Related person of an internal			From 01/01/2026 to 30/06/2026	-	Other payables Quantity: 0 Value: 88.951 VND	As above

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No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
		person						
16	Tran Hong Quan	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Other payables Quantity: 0 Value: 8.066 VND	As above
17	Nguyen Thi Minh Hien	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Borrowing transactions Quantity: 22 Value: 2.319.538.284 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 2 Value: 200.000.000 VND	As above
18	Trinh Thanh Can	Internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025	Borrowing transactions Quantity: 8 Total value: 1.283.775.151	As above



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No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
						6908.2026.BOD dated January 9, 2026	VND Loan interest payments Quantity: 15 Value: 8.314.459 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 47 Value: 3.313.666.989 VND	
19	Trinh Dinh Ban	Related person of an internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions Quantity: 11 Total value: 12.828.568 VND Loan interest payments Quantity: 17 Value: 71.225 VND Securities transactions Service fees: 175 VND	As above
20	Diep The Anh	Internal person			From 01/01/2026 to 30/06/2026	8925.2025.BOD dated December 30, 2025 6908.2026.BOD dated January 9, 2026	Borrowing transactions: Quantity: 70 Total value: 30.313.806.970 VND Loan interest payments Quantity: 110 Value: 226.395.322 VND Securities transactions Service fees: 125.014 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 52 Value: 14.241.719.201 VND	As above

Corporate Governance Report

No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
21	Nguyen Thi Thu Nhi	Internal person			From 01/01/2026 to 30/06/2026	-	Borrowing transactions Quantity: 50 Value: 850.889.849 VND Loan interest payments Quantity: 115 Value: 6.556.303 VND Other payables Quantity: 27 Value: 0 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 47 Value: 1.162.083.472 VND	As above
22	Le Phu Quoc	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	K-Points payments: Quantity: 2 Value: 232.558 VND	As above
23	Nguyen Thi Thu Ha	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Borrowing transactions Quantity: 15 Value: 144.361.253 VND	As above



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No.	Name of organization/individual	Relationship with the Company	Legal identification document No., date and place of issue	Head office/contact address	Transaction period with the Company	GMS/BOD Resolution/Decision approving the transaction (if any, specifying the issuance date)	Contents, quantity and total transaction value	Notes
							Loan interest payments Quantity: 40 Value: 1.193.414 VND Other payables Quantity: 8 Value: 0 VND	
24	Tran Nhat Tien	Internal person			From 01/01/2026 to 30/06/2026	-	Securities transactions Service fees: 20.355 VND Transactions in valuable papers: 1. SuperAccount: Quantity: 21 Value: 2.174.088.274 VND	As above
25	Tran Van Hung	Related person of an internal person			From 01/01/2026 to 30/06/2026	-	Other payables Quantity: 0 Value: 21.003 VND	As above

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Corporate Governance Report

Appendix 03. List of Internal Persons and Related Persons of Internal Persons (Report for the First Six Months of 2026)

No	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
1.0	Le Quang Trung		Chairman of the BOD			37,500	0,005	Company manager, internal person, legal representative
1.1	Le Viet Hong	-	None			-	-	Biological father
1.2	Tran Thi Dung	-	None			-	-	Biological mother
1.3	Le Minh Nhi	-	None			-	-	Biological child
1.4	Le Ha Chi	-	None			-	-	Biological child
1.5	Le Minh	-	None			-	-	Biological child
1.6	Le Xuan Nga	-	None			-	-	Older brother
1.7	Le Xuan Sinh	-	None			-	-	Older brother
1.8	Le Vu Thanh	-	None			-	-	Older brother



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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
1.9	Le Thi Phuong Nam	-	None			-	-	Older sister
1.10	Le Thi Hai	-	None			-	-	Older sister
1.11	Le Thi Ha	-	None			-	-	Older sister
1.12	Le Thi Hang	-	None			-	-	Older sister
1.13	Nguyen Ba Uan	-	None			-	-	Brother-in-law
1.14	Nguyen Binh Ha	-	None			-	-	Brother-in-law
1.15	Nguyen Trong Chinh	-	None			-	-	Brother-in-law
1.16	Phung Ba Tuong	-	None			-	-	Brother-in-law
1.17	Nguyen Thi Lan	-	None			-	-	Sister-in-law



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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
1.18	Ho Thi Kim Thoa	-	None			-	-	Sister-in-law
1.19	Le Thu Ha	-	None			-	-	Sister-in-law
1.20	Dai Hung Investment Construction and Trading Company Limited	-	None			-	-	Organization in which Mr. Le Quang Trung is a member of the Members' Council
2.0	Nguyen Viet Cuong	-	Vice Chairman of the BOD			5.500.000	0,7333	Company manager, internal person, legal representative
2.1	Le Thu Thuy	-	None			-	-	Wife
2.2	Nguyen Viet Dang Khoi	-	None			-	-	Biological child
2.3	Dao Thuy Duyen	-	None			-	-	Biological mother
2.4	Nguyen Thi Kim Hong Thinh	-	None			-	-	Mother-in-law

Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
2.5	Nguyen Duc Trung	-	None			-	-	Older brother
2.6	Nguyen My Trang Huong	-	None			-	-	Younger sibling
2.7	Tran Mong Thuy Vy	-	None			-	-	Sister-in-law
3.0	Tran Tuan Minh		BOD member			3,882,000	0,5176	Company manager, internal person
3.1	Huynh Thi Hong Thuy		None			-	-	Wife
3.2	Tran Khanh Dan	-	None			-	-	Biological child
3.3	Tran Hoang Duong	-	None			-	-	Biological child
3.4	Vu Thi Le	-	None			-	-	Biological mother
3.5	Huynh Thanh	-	None			-	-	Father-in-law



Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
3.6	Mai Thi Nguyet	-	None			-	-	Mother-in-law
3.7	Tran Tuan Long		None			30.000	0,004	Sibling
3.8	Nguyen Thi Nguyen	-	None			-	-	Sister-in-law
4.0	Ha Hoang Dung		BOD member			3.750.000	0,5	Company manager, internal person
4.1	Pham Dac Bi	-	None			-	-	Father-in-law
4.2	Vu Thi Hao		None			-	-	Mother-in-law
4.3	Pham Thu Ha		None			-	-	Wife
4.4	Ha Minh Duc	-	None			-	-	Biological child
4.5	Ha Ngoc Minh	-	None			-	-	Biological child

Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
4.6	Ha Minh Hieu	-	None			-	-	Biological child
4.7	Ha Hoang Anh	-	None			-	-	Sibling
4.8	Pham Vu Bao	-	None			-	-	Sibling of spouse
4.9	Yana Sompetch	-	None			-	-	Brother-in-law
5.0	Trinh Thanh Can	-	General Director, BOD member			2,266,690	0,3022	Company manager, internal person, legal representative
5.1	Tran Phuong Thao	-	None			-	-	Wife
5.2	Trinh Minh Tri	-	None			-	-	Child
5.3	Trinh Nhu May	-	None			-	-	Child



Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
					City			
5.4	Trinh Dinh Ban	-	None			-	-	Father
5.5	Trinh Dinh Tue	-	None			-	-	Older brother
5.6	Trinh Hong Nhut	-	None			-	-	Older brother
5.7	Tran Tien Duc	-	None			-	-	Father-in-law
5.8	Nguyen Thi Hanh	-	None			-	-	Mother-in-law
5.9	Do Song Hong	-	None			-	-	Sister-in-law
5.10	Chubb Expanded Bond Investment Fund	-	None			-	-	Organization in which Mr. Trinh Thanh Can is Chairman of the Fund Representative Board
6.0	Diep The Anh		Deputy General Director, BOD member			600.000	0,08	Company manager, internal person

Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
6.1	Mr. Thi Thanh Thao	-	None			-	-	Wife
6.2	Diep Bao Hau	-	None			-	-	Father
6.3	Nguyen Thi Ngoc Trinh	-	None			-	-	Mother
6.4	Mr. Ich Dung	-	None			-	-	Father-in-law
6.5	Diep Thao Linh	-	None			-	-	Child
6.6	Diep Thao Anh	-	None			-	-	Child
7.0	Nguyen Thanh Hai		Head of the Supervisory Board			-	-	Internal person
7.1	Nguyen Van Men	-	None			-	-	Biological father
7.2	Nguyen Thi Huong	-	None			-	-	Biological mother



Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
7.3	Nguyen Thanh Vu	-	None			-	-	Older brother
7.4	Nguyen Thuy Hoai Thu	-	None			-	-	Sister-in-law
7.5	Nguyen Thanh Thong	-	None			-	-	Older brother
7.6	Nguyen Hai Long	-	None			-	-	Biological child
8.0	Mai Hien Van		Supervisor			300.000	0,04	Internal person
8.1	Mai Xuan Dung	-	None			-	-	Biological father
8.2	Tran Van Thanh	-	None			-	-	Father-in-law
8.3	Nguyen Thi Minh Hien		None			-	-	Biological mother
8.4	Nguyen Thuy Nga		None			-	-	Mother-in-law

Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
8.5	Tran Hong Quan	-	None			-	-	Husband
8.6	Tran Minh Huy	-	None			-	-	Biological child
8.7	Mai Hien Trang	-	None			-	-	Sibling
8.8	Fabien Maurice Andre Jaillet	-	None			-	-	Brother-in-law
9.0	Tran Xuan Canh	-	Supervisor			15,000	0,002	Internal person
9.1	Tran Xuan Khu	-	None			-	-	Biological father
9.2	Vu Thanh Phoi	-	None			-	-	Father-in-law
9.3	Doan Thi Lan	-	None			-	-	Mother-in-law



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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
9.4	Vu Hai An	-	None			-	-	Wife
9.5	Tran Tuan Dat	-	None			-	-	Biological child
9.6	Tran Tuan Vu	-	None			-	-	Biological child
9.7	Tran Ngoc Hoang		None			-	-	Younger sibling
9.8	Nguyen Vu Phuc Hien		None			-	-	Sister-in-law
10.0	Nguyen Anh Vu		Legal and Compliance Director, Authorized Person for Information Disclosure			-	-	Internal person, legal representative
10.1	Pham Thien Trang	-	None			-	-	Wife
10.2	Nguyen Vu Dinh Nguyen	-	None			-	-	Biological child
10.3	Nguyen Vu Dang Khoa	-	None			-	-	Biological child

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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
10.4	Nguyen Van Kim	-	None			-	-	Biological father
10.5	Nguyen Thi Dau	-	None			-	-	Biological mother
10.6	Nguyen Thi Anh Nguyet	-	None			-	-	Mother-in-law
10.7	Nguyen Thi Anh	-	None			-	-	Older sister
10.8	Nguyen Thi Thu	-	None			-	-	Older sister
10.9	Nguyen Thi Nguyet	-	None			-	-	Older sister
10.10	Doan Trung Kien	-	None			-	-	Brother-in-law
11.0	Nguyen Thi Thu Nhi		Chief Accountant			-	-	Internal person



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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
11.1	Le Nguyen Tri Kien	-	None			-	-	Child
11.2	Le Phu Quoc	-	None			-	-	Husband
11.3	Nguyen Thanh Khai	-	None			-	-	Father
11.4	Do Thi Nhon	-	None			-	-	Mother
11.5	Nguyen Thi Thu Ha	-	None			-	-	Younger sister
11.6	Nguyen Thi Thanh Nhan	-	None			-	-	Younger sister
11.7	Vu Dinh Viet	-	None			-	-	Brother-in-law

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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
11.8	Ha Thi Kha	-	None			-	-	Mother-in-law
11.9	Le Cau	-	None			-	-	Father-in-law
12.0	Tran Nhat Tien	-	Chief Financial Officer			257.000	0,0343	Internal person
12.1	Tran Van Hung	-	None			-	-	Biological father
12.2	Nguyen Thi Kim Loan	-	None			-	-	Biological mother
12.3	Tran Hoang Thy	-	None			-	-	Older sister
12.4	Tran Hieu Minh	-	None			-	-	Younger sibling
13.0	Phan Thi Hong Tham	-	Authorized Person for Information Disclosure			-	-	Internal person
13.1	Phan Xuan Sa	-	None			-	-	Father



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No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
13.2	Vo Thi Dung	-	None			-	-	Mother
13.3	Phan Xuan Tin	-	None			-	-	Older brother
13.4	Phan Thi Hong Tuoi	-	None			-	-	Older sister
13.5	Phan Thi Hong Thao	-	None			-	-	Younger sibling
13.6	Phan Xuan Tam	-	None			-	-	Younger sibling
14.0	Phan Thi Kim Hue	-	Person in Charge of Accounting			3000	0,0004	Internal person
14.1	Phan Van Chin	-	None					Father
14.2	Nguyen Thi Loan	-	None					Mother



Corporate Governance Report

No.	Full name	Securities trading account (if any)	Position at the Company (if any)	ID card/Citizen ID/Passport No., date and place of issue	Contact address	Number of shares held at period end	Shareholding ratio at period end	Notes
14.3	Phan Chi Duc	-	None					Younger brother
14.4	Phan Thi Kim Tuyen	-	None					Younger sister
14.5	Phan Thi Kim Tuyen	-	None					Younger sister





Corporate Governance Report

Appendix 04. Transactions in the Company's Shares by Internal Persons and Their Related Persons (Report for the First Six Months of 2026)

No.	Person conducting the transaction	Relationship with the internal person	Number of shares held at beginning of period		Number of shares held at period end		Reason for increase/decrease (purchase, sale, conversion, bonus, etc.)
			Number of shares	Ratio (%)	Number of shares	Ratio (%)	
1	Nguyen Viet Cuong	-	6.000.000	0,8	5.500.000	0,7333	Share transfer
2	Trinh Thanh Can	-	8.866.740	1,1822	2.266.690	0,3022	Share transfer
3	Tran Xuan Canh	-	37.500	0,005	15.000	0,002	Share transfer
4	Tran Nhat Tien		357.000	0,0476	257.000	0,0343	Share transfer

