Translation

S.G.P: 64 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN KAFI
P. SÀI GÒN - T.P HỒ CHÍ MINH

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No. 693/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, 20 November 2026

Công ty Cổ Phần Chứng Khoán KAFI
Digitally signed by Công ty Cổ Phần Chứng Khoán KAFI
DN: C=VN, CN=Công ty Cổ Phần Chứng Khoán KAFI, L=Quận 1, ST=HỒ CHÍ MINH, UID=MST:0304763613
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CERTIFICATE
of Registration of Public Offering of Shares

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15 (hereinafter referred to as the "Law on Securities");

Pursuant to Decree No. 155/2020/NĐ-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated 11 September 2025;

Pursuant to Circular No. 118/2020/TT-BTC dated 31 December 2020 of the Minister of Finance guiding a number of contents on the offering and issuance of securities, public tender offers, share repurchases, registration of public companies and cancellation of public company status, as amended and supplemented by Circular No. 115/2025/TT-BTC dated 15 December 2025;

Pursuant to Decision No. 3336/QĐ-BTC dated 13 November 2026 of the Minister of Finance defining the functions, tasks, powers and organizational structure of the State Securities Commission;

Pursuant to the Dossier for Registration of Additional Public Offering of Shares of KAFI Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To issue the Certificate of Registration of Public Offering of Shares to:

KAFI SECURITIES CORPORATION

- Business Operation License No. 51/UBCK-GPHĐKD issued by the Chairman of the State Securities Commission on 29 December 2006.

- Charter capital: VND 7,500,000,000,000 *(Seven thousand five hundred billion Vietnamese dong)*.

- Enterprise Registration Certificate No. 0304763613, first issued by the Department of Planning and Investment of Ho Chi Minh City on 29 December 2006, with the 20th amendment registered by the Department of Finance of Ho Chi Minh City on 25 May 2026.

Article 2. The shares of KAFI Securities Corporation are offered to the public with the following details:

1. Type of securities: common shares.

2. Par value: VND 10,000 *(Ten thousand Vietnamese dong)*.

3. Total number of shares offered: 125,000,000 shares *(One hundred and twenty-five million shares)*.

4. Total offering value at par value: VND 1,250,000,000,000 *(One thousand two hundred and fifty billion Vietnamese dong)*.

5. Distribution period: Within 90 days from the effective date of the Certificate of Registration of Public Offering.

6. Distribution method: As set out in the Prospectus.

Article 3. KAFI Securities Corporation must comply with Vietnamese law, the regulations on securities and the securities market, and the contents of this Certificate. KAFI Securities Corporation and the organizations and individuals involved in the dossier shall comply with Article 11a of the Law on Securities and Clause 1, Article 6 of Decree No. 155/2020/NĐ-CP, as amended and supplemented by Point b, Clause 3, Article 1 of Decree No. 245/2025/NĐ-CP.

Article 4. The State Securities Commission receives and processes the dossier in accordance with Clause 2, Article 11a of the Law on Securities and Point d, Clause 1, Article 6 of Decree No. 155/2020/NĐ-CP, as amended and supplemented by Point b, Clause 3, Article 1 of Decree No. 245/2025/NĐ-CP.

Article 5. This Certificate takes effect from the date of signing.

Article 6. This Certificate is made in five (05) originals: 01 original issued to KAFI Securities Corporation, 02 originals kept at the State Securities Commission, 01 original sent to the Hanoi Stock Exchange and 01 original sent to the Vietnam Securities Depository and Clearing Corporation.

FOR THE CHAIRMAN
VICE CHAIRMAN

(Signed and sealed)

Lê Thị Việt Nga

Translation

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 11561/UBCK-QLKD
Re: Issuance of shares under the employee stock option program of KAFI Securities Corporation

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, 20 November 2026

To: KAFI Securities Corporation

The State Securities Commission (SSC) has received the report dossier on the issuance of shares under the employee stock option program under Issuance Report No. 2686.26 dated 28/10/2026 of KAFI Securities Corporation (the Company). The SSC has the following opinions:

1. Organizations and individuals participating in the preparation of dossiers and reports relating to securities activities and the securities market are legally responsible for the legality, accuracy, truthfulness and completeness of the dossiers and reports; organizations and individuals participating in certifying dossiers and reports are legally responsible within the scope related to those dossiers and reports as prescribed in Clause 1, Article 11a of the Law on Securities, as added by Clause 4, Article 1 of Law No. 56/2024/QH15.

2. The SSC has announced on the SSC's website the receipt of the complete report dossier on the issuance of shares under the employee stock option program of the Company. The Company's plan for the issuance of shares under the employee stock option program is implemented in accordance with the plan set out in Annual General Meeting of Shareholders Resolution No. 1001.2026.GSM dated 09/04/2026, Board of Directors Resolution No. 9840.2026.BOD(1) dated 31/07/2026, Board of Directors Resolution No. 9840.2026.BOD(2) dated 31/07/2026, Board of Directors Resolution No. 9853.2026.BOD(1) dated 22/10/2026, Board of Directors Resolution No. 9855.2026.BOD dated 26/10/2026 and current legal regulations.

3. The SSC requests the Company to carry out reporting and information disclosure in accordance with Decree No. 155/2020/NĐ-CP dated 31/12/2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP.

The SSC hereby notifies the Company for its information./.

Recipients:
- As above;
- Chairman of the SSC (for reporting);
- VNX, HNX, VSDC;
- Archive: Registry, Securities Business Management Department (07b).

BY ORDER OF THE CHAIRMAN
HEAD OF THE SECURITIES BUSINESS MANAGEMENT DEPARTMENT

(Signed and sealed)

Hồ Thị Hằng