

Số: 03/2026/WSS-TB
No.: 03/2026/WSS-TB

Hà Nội, ngày 19 tháng 10 năm 2026
Hanoi, day 19 month 10 year 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

**Kính gửi: Sở Giao dịch Chứng khoán Việt Nam/ Sở Giao dịch Chứng khoán Hà Nội/ Sở
Giao dịch Chứng khoán thành phố Hồ Chí Minh**
To: Vietnam Exchange/ Hanoi Stock Exchange/ Hochiminh Stock Exchange

1. Tên tổ chức/Name of organization: Công ty Cổ phần Chứng khoán Phố Wall/ Wall
Street Securities Corporation

- Mã chứng khoán/Mã thành viên/ Stock code/ Broker code: WSS

- Địa chỉ/Address: Tầng 9, Tòa nhà ICON4 số 243A Đê La Thành, Láng, Hà Nội/9th
Floor, ICON4 Building, 243A De La Thanh, Lang, Hanoi

- Điện thoại liên hệ/Tel.: 024.39367083

Fax: 024.39367082

- E-mail: cbtt@wss.com.vn

2. Nội dung thông tin công bố/Contents of disclosure:

Nghị quyết Đại hội đồng cổ đông và Biên bản kiểm phiếu lấy ý kiến cổ đông bằng văn bản lần
01 năm 2026.

Resolutions of the General Meeting of Shareholders and Minutes of Vote Counting for the First
2026 Written Shareholder Consultation.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày
19/10/2026 tại đường dẫn <http://wss.com.vn/>. This information was published on the
company's website on 19/10/2026, as in the link <http://wss.com.vn/>.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu
trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/We hereby certify that the
information provided is true and correct and we bear the full responsibility to the law.

**Tài liệu đính kèm/Attached
documents:**

Tài liệu liên quan đến nội
dung thông tin công bố/
Documents on disclosed
information.

**Đại diện tổ chức
Organization representative**

Người đại diện theo pháp luật/Người UQ CBTT
Legal representative/ Person authorized to disclose information



Nguyễn Việt Thắng

**CÔNG TY CỔ
PHẦN
CHỨNG
KHOÁN PHỐ
WALL**

Digitally signed by CÔNG TY CỔ
PHẦN CHỨNG KHOÁN PHỐ WALL
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No.: 46.../2026/WSS-NQ

Hanoi, October 19, 2026

RESOLUTION OF THE GENERAL MEETING OF SHAREHOLDERS

(Re: The Company's provision of securities transaction clearing and settlement services under the central counterparty (CCP) mechanism for the underlying securities market)

GENERAL MEETING OF SHAREHOLDERS WALL STREET SECURITIES CORPORATION

- Pursuant to the Law on Enterprises No. 59/2020/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 17 June 2020;
- Pursuant to the Law on Securities No. 54/2019/QH14 adopted by the National Assembly of the Socialist Republic of Vietnam on 26 November 2019 and its implementing documents;
- Pursuant to the Charter and organizational and operational regulations of Wall Street Securities Corporation;
- Pursuant to the Minutes of Vote Counting for the Written Shareholder Opinion No. 45.../2026/WSS-BBKP dated 19/10/2026.

HEREBY RESOLVES:

Article 1. Approve the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

Article 2. Assign the General Director to direct the preparation of the application dossier for the Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services and submit it to the State Securities Commission of Vietnam, and to carry out all necessary related tasks to enable the Company to implement the provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

Article 3. This Resolution shall take effect from the date of signing. The General Director, relevant departments and relevant individuals shall be responsible for implementing this Resolution./.

Recipients:

- As in Article 3.;
- BoD, BoS;
- Filed.

FOR AND ON BEHALF OF THE
GENERAL MEETING OF
SHAREHOLDERS
CHAIRMAN OF THE BOARD



NGUYEN DINH TU

**MINUTES OF VOTE OPINION
FOR WRITTEN CONSULTATION TO APPROVE
A RESOLUTION OF THE GENERAL MEETING OF SHAREHOLDERS**

Re: The Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market

Today, at 9:00 a.m. on 19 October 2026, at the headquarters of Wall Street Securities Joint Stock Company, 9th Floor, ICON4 Building, 243A De La Thanh Street, Lang Ward, Hanoi, Vietnam, the Board of Directors of Wall Street Securities Joint Stock Company conducted the vote opinion and prepared these Minutes of Vote Opinion for the written consultation of shareholders to approve a Resolution of the General Meeting of Shareholders regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

I. CORPORATE INFORMATION

- 1. Company name:** Wall Street Securities Joint Stock Company.
- 2. Head office:** 9th Floor, ICON4 Building, 243A De La Thanh Street, Lang Ward, Hanoi, Vietnam.
- 3. Establishment and Operation License** No. 86/UBCK-GP, first issued by the State Securities Commission on 20 December 2007; the latest amended license is No. 84/UBCK-GP dated 26 May 2017;

Enterprise Registration Certificate (Enterprise ID No.): 0102590252, first issued by the Hanoi Department of Planning and Investment on 20 December 2007, and amended for the 7th time on 17 December 2024.

- 4. Charter capital:** VND 503,000,000,000, equivalent to 50,300,000 shares.

II. PURPOSE AND MATTER FOR WRITTEN CONSULTATION

To approve a Resolution of the General Meeting of Shareholders regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

III. PARTICIPANTS IN THE VOTE OPINION

1. Members of the Board of Directors participating in the vote opinion

- | | |
|---------------------------|---|
| 1. Mr. Nguyen Dinh Tu | - Chairman of the Board of Directors, presiding over the vote opinion |
| 2. Mr. Pham Duc Long | - Vice Chairman of the Board of Directors |
| 3. Mr. Nguyen Dang Truong | - Member of the Board of Directors |
| 4. Mr. Nguyen Viet Thang | - Member of the Board of Directors |
| 5. Mr. Tran Anh Dung | - Member of the Board of Directors |

(100% of the members of the Board of Directors participated in the vote opinion).

2. Representative of the Supervisory Board witnessing and supervising the vote opinion

Mr. Trinh Cong Thang, Member of the Supervisory Board, representing the Supervisory Board.

3. Secretary

Ms. Thanh Thi Ngoc

IV. MATTER FOR WRITTEN CONSULTATION AND APPROVAL RATE

1. Number of shareholders and votes participating in the voting:

Description	Number of shareholders / voting forms	Votes (corresponding number of shares)	Percentage (of total voting shares)
Total number of the Company's shareholders (number of persons sent written consultation forms)	877	50.300.000	100%
Total shareholders participating in the vote (shareholders who had returned their written consultation forms to the Company by 9:00 a.m. on 19 October 2026)	17	37.892.300	75,33%
Number of valid voting forms	17	37.892.300	75,33%
Number of invalid voting forms	0	0	0%
Total shareholders not participating in the vote (shareholders who had not returned their written consultation forms to the Company by 9:00 a.m. on 19 October 2026)	860	12.407.700	24,67%

The methods of submitting voting forms are detailed in the attached appendix listing the shareholders participating in the vote.

2. Vote-opinion results regarding the Company's provision of securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market:

Description	Number of voting forms	Shares (corresponding votes)	Percentage (of the Company's total voting shares)
Total votes in favor	17	37.892.300	75,33%
Total votes against	0	0	0
Total abstentions	0	0	0

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3. Matter approved:

The Company shall provide securities transaction clearing and settlement services under the Central Counterparty (CCP) mechanism for the underlying securities market.

Approval rate: 75.33%

The vote opinion was completed at 11:00 a.m. on 19 October 2026. These Minutes of Vote Opinion were read by the members of the Board of Directors who conducted the vote opinion and the representative of the Supervisory Board who witnessed and supervised the vote opinion. They agreed with the entire contents of these Minutes and signed below.

SECRETARY



THANH THI NGOC

CHAIRMAN OF THE BOARD OF DIRECTORS



NGUYEN DINH TU

MEMBERS OF THE BOARD OF DIRECTORS



PHAM DUC LONG



NGUYEN DANG TRUONG



NGUYEN VIET THANG



TRAN ANH DUNG

REPRESENTATIVE OF THE SUPERVISORY BOARD



TRINH CONG THANG

**APPENDIX: LIST OF SHAREHOLDERS PARTICIPATING IN THE VOTE
AS OF 09:00 ON 19 OCTOBER 2026**

No.	Shareholder Name	Number of Shares	Percentage of Total Voting Shares	Method of Submission
1	Nguyễn Đình Việt	15.000.000	29,82%	On direct
2	Nguyễn Đình Bách	1.000.000	1,99%	On direct
3	Nguyễn Đình Tú	11.300.000	22,47%	On direct
4	Lê Tất Sơn	2.253.800	4,48%	On direct
5	Phạm Xuân Phương	1.566.400	3,11%	On direct
6	Nguyễn Thị Hải Yến	1.879.400	3,74%	On direct
7	Ngô Tiến Minh	1.300.000	2,58%	On direct
8	Phạm Lê Thực	929.000	1,85%	On direct
9	Phạm Thu Hương	551.200	1,10%	On direct
10	BVA Financial Technology Joint Stock Company	697.800	1,39%	On direct
11	Nguyễn Thị Thu Hương	483.700	0,96%	On direct
12	Thái Hồng Sơn	406.100	0,81%	On direct
13	Nguyễn Văn Quyết	390.800	0,78%	On direct
14	Nguyễn Sơn Tùng	65.000	0,13%	On direct
15	Bùi Thu Trinh	54.200	0,11%	On direct
16	Trần Anh Chung	3.700	0,01%	By post
17	Bùi Tuyết Hạnh	11.200	0,02%	Email
TOTAL		37.892.300	75,33%	

CHAIRMAN OF THE BOARD OF DIRECTORS



Nguyen Dinh Tu