

No: 2010/2026/CV-SBS

Ho Chi Minh City, October 20th, 2026

PERIODIC DISCLOSURE OF FINANCIAL STATEMENTS

To: Hanoi Stock Exchange (HNX)

Pursuant to the provisions of Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance guiding information disclosure on the stock market, SBS Securities Joint Stock Company (Stock code: SBS) shall disclose information on the financial statements (FS) for the third quarter of 2026 to the Hanoi Stock Exchange as follows:

1. Organization name: SBS Securities Joint Stock Company

- Ticker: SBS
- Head office: 40 Pham Ngoc Thach, Ward Xuan Hoa, Ho Chi Minh City
- Telephone: 028.6268 6868
- Email: hung.lt@sbsc.com.vn; nga.ttt@sbsc.com.vn
- Website: www.sbsc.com.vn

2. Contents of disclosed information: The 3rd Quarter 2026 Financial Statement includes:

☒ Separate financial statements (listed organization has no subsidiaries and the superior accounting unit has affiliated units);

☐ Consolidated financial statements (listed organization has subsidiaries);

☐ Consolidated financial statements (listed organization has its own accounting unit and accounting apparatus).

3. Cases requiring explanation of causes:

+ Profit after corporate income tax in the Profit and loss statement of the reporting period has changed by 10% or more compared to the same period last year?

☒ Yes

☐ No

Explanatory text in case of integration:

☒ Yes

☐ No

+ Is the profit after tax in the reporting period a loss, shifting from profit in the same period last year to loss in this period or vice versa?

☒ Yes

☐ No

Explanatory text in case of integration:

☒ Yes

☐ No

This information was published on the company's website on October 20, 2026 at the link: <https://sbsc.com.vn/qhcd/bao-cau-quy-dinh>

Attached documents:

- The 3rd Quarter 2026 Financial Statement
- Explanations Relating To FSs_Q3_2026

**CÔNG TY
CỔ PHẦN
CHỨNG
KHOẢN
SBS**

Digitally signed by CÔNG TY CỔ
PHẦN CHỨNG KHOẢN SBS
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CHÍ MINH, CN=CÔNG TY CỔ
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**Organization representative
Party authorized to disclose information**



**Chief Accountant
Tran Thi Thu Nga**