

No: 457...../CBTT-SPC

Ho Chi Minh City, October 07, 2026

EXTRAORDINARY INFORMATION DISCLOSURE

**To: Vietnam Exchange
Hanoi Stock Exchange**

1. Name of organization: Saigon Plant Protection Joint Stock Company.

- Stock code: **SPC**.

- Address: Nguyen Van Quy Street, Quarter 1, Tan Thuan Ward, HCMC.

- Tel: 028.38733295

Fax: 028.38733003

- E-mail: info@spchcmc.vn

2. Contents of disclosure:

On 07/10/2026, Saigon Plant Protection Joint Stock Company received Decision No. 572/QD-XPHC and Notice No. 626/TT, both dated 02/10/2026, issued by the State Securities Commission regarding the imposition of administrative penalties for inaccurate information disclosure, together with Notice No. 9765/UBCK-TT dated 01/10/2026 regarding violations of laws on securities and the securities market.

3. This information was published on the company's website on 07/10/2026 as in the link: <http://spchcmc.vn/VN/Quan-He-Co-Dong.html>

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law. *✓*

ORGANIZATION REPRESENTATIVE
Legal representative/ Person authorized to disclose
DIRECTOR

Attached documents: *h*

- Decision No. 572/QD-XPHC

- Notice No. 626/TT

- Notice No. 9765/UBCK-TT

**CÔNG TY
CỔ PHẦN
BẢO VỆ
THỰC VẬT
SÀI GÒN**

Digitally signed by CÔNG TY CỔ PHẦN BẢO VỆ THỰC VẬT SÀI GÒN
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Dieu Quang Trung

STATE SECURITIES COMMISSION
STATE SECURITIES COMMISSION
INSPECTORATE



No.: 626/TT

Notice on Guidelines for Implementing the
Decision on Administrative Penalties

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Hanoi, October 02, 2026

To: Saigon Plant Protection Joint Stock Company

Pursuant to the Record of Administrative Violation No. 82/BB-VPHC dated 21/9/2026, the State Securities Commission Inspectorate issued Decision No. 572/QD-XPHC dated 02/10/2026, imposing administrative penalties in the field of securities and the securities market on Saigon Plant Protection Joint Stock Company (the “Company”). The State Securities Commission Inspectorate requests that the Company implement Decision No. 572/QD-XPHC dated 02/10/2026, as follows:

1. Payment of the Administrative Fine

When paying the administrative fine under Decision No. 572/QD-XPHC dated 02/10/2026, the Company is requested to specify the following information on the payment document:

- Payment of the administrative fine under Decision on Administrative Penalties No. 572/QD-XPHC dated 02/10/2026, issued by the State Securities Commission Inspectorate;
- Account No.: 7111 at Regional State Treasury I;
- Collecting authority: Ministry of Finance (collecting authority code: 1082814), chapter: 018, sub-item: 4299.

Within seven 07 days from the date on which the deadline for complying with the administrative penalty in the form of a monetary fine expires, the Company is responsible for sending proof of payment bearing confirmation from the State Treasury or a bank to the State Securities Commission Inspectorate at No. 164 Tran Quang Khai Street, Hoan Kiem District, Hanoi, in accordance with Clause 1, Article 52a of Decree No. 156/2020/ND-CP dated 31/12/2020, of the Government on administrative penalties in the field of securities and the securities market, as amended and supplemented by Clause 44,

Article 1 of Decree No. 128/2021/ND-CP dated 30/12/2021, of the Government amending and supplementing several articles of Decree No. 156/2020/ND-CP. The Company shall also send the proof of payment by fax to (024) 39340739 or a scanned copy by email to thanhtra@ssc.gov.vn as evidence of payment of the fine.

2. Implementation of Remedial Measures

The Company shall implement the remedial measure of correcting information in accordance with Clause 1, Article 51 of Decree No. 156/2020/ND-CP and Clause 3, Article 51 of Decree No. 156/2020/ND-CP, as amended and supplemented under Point a, Clause 23, Article 1 of Decree No. 306/2025/ND-CP, specifically:

“1. Within a maximum period of three (03) working days from the date of receipt of the decision imposing the remedial measure requiring the cancellation or correction of information, the violating individual or organization must publish a notice regarding the cancellation or correction of information in a national newspaper for three (03) consecutive issues and on the Company’s website. When cancelling or correcting information, the violating individual or organization must simultaneously report the cancelled information and the corrected information to the State Securities Commission, the Vietnam Stock Exchange, and its subsidiaries.

3. ... The violating individual or organization must report the results of implementing the remedial measures specified in this Clause to the competent person who issued the administrative penalty decision within a maximum period of three (03) working days from the end of the period for complying with the remedial measures.”

The State Securities Commission Inspectorate hereby notifies the Company for its information and compliance.

Recipients:

- *As above;*
- *Chief Inspector (for reporting purposes);*
- *Filed: Administration Department, Case File (04b).*

ON BEHALF OF THE CHIEF INSPECTOR

DEPUTY CHIEF INSPECTOR

(Signed and sealed)

Nguyen Thi Hong Nhung

STATE SECURITIES COMMISSION
STATE SECURITIES COMMISSION
INSPECTORATE



No.: 572/QĐ-XPHC

THE SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Ha Noi, October 02, 2026

DECISION

On Administrative Penalty for Violations

CHIEF INSPECTOR OF THE STATE SECURITIES COMMISSION

Pursuant to Articles 57, 68, 70, 78 and 85 of the Law on Handling Administrative Violations No. 15/2012/QH13, as amended and supplemented by Law No. 67/2020/QH14 and Law No. 88/2025/QH15;

Pursuant to Government Decree No. 118/2021/ND-CP dated 23/12/2021, detailing certain provisions and measures for implementing the Law on Handling Administrative Violations, as amended and supplemented by Decree No. 68/2025/ND-CP and Decree No. 190/2025/ND-CP;

Pursuant to Government Decree No. 156/2020/ND-CP dated 31/12/2020, on administrative penalties in the securities and securities market sector, as amended and supplemented by Decree No. 306/2025/ND-CP;

Pursuant to the Administrative Violation Record No. 82/BB-VPHC dated 21/9/2026.

HEREBY DECIDES:

Article 1.

1. Administrative penalties shall be imposed on the following organization:

Name of the organization committing the violation: Sai Gon Plant Protection Joint Stock Company (the “Company”).

Head office address: Nguyen Van Quy Street, Quarter 1, Tan Thuan Ward, Ho Chi Minh City.

Enterprise Registration Certificate No.: 0300632322; initial issuance date: 14/6/2008; 11th amendment date: 03/9/2025; issuing authority: Department of Finance of Ho Chi Minh City.

Legal representative: Dieu Quang Trung

Gender: Male

Position: Director



2. Administrative violation committed: Disclosing misleading information. Specifically, the Company disclosed misleading information regarding the after-tax profit from business operations (profit after corporate income tax, hereinafter referred to as "PAT"), as follows: According to the consolidated financial statements for the fourth quarter of 2025, compared with the audited consolidated financial statements for the full year 2025, the PAT for 2025 reported in the consolidated financial statements for the fourth quarter of 2025 was 21,437,456,674 VND. However, the audited PAT for 2025 reported in the consolidated financial statements for the full year 2025 was 15,604,993,101 VND. According to the separate financial statements for the fourth quarter of 2025, compared with the audited separate financial statements for the full year 2025, the PAT for 2025 reported in the separate financial statements for the fourth quarter of 2025 was 5,045,216,377 VND. However, the audited PAT for 2025 reported in the separate financial statements for the full year 2025 was 5,928,880,888 VND.

3. Applicable regulation: Clause 6, Article 42 of Decree No. 156/2020/ND-CP, as amended and supplemented under Clause 16, Article 1 of Decree No. 306/2025/ND-CP.

4. Aggravating circumstances: None.

5. Mitigating circumstances: The administrative violator actively assisted the competent authorities in detecting and handling the administrative violation, as stipulated in Clause 2, Article 9 of the Law on Handling Administrative Violations.

6. Penalties and remedial measures imposed:

a) Primary penalty: Monetary fine.

Amount: 125,000,000 VND (One hundred twenty-five million vnd).

b) Additional penalties: None.

c) Remedial measures: The Company is required to correct the disclosed information in accordance with Clause 9, Article 42 of Decree No. 156/2020/ND-CP, as amended and supplemented under Clause 16, Article 1 of Decree No. 306/2025/ND-CP.

The deadline for implementing the remedial measures and all matters directly related to their implementation shall comply with Clause 1, Article 51 of Decree No. 156/2020/ND-CP and Clause 3, Article 51 of Decree No. 156/2020/ND-CP, as amended and supplemented under Point a, Clause 23, Article 1 of Decree No. 306/2025/ND-CP.

All costs incurred by the organization committing the violation in implementing the remedial measures specified in this Article shall be borne by that organization.

Article 2. This Decision shall take effect from the date of signing.

Article 3. This Decision shall be served on the following parties:

1. Mr. Dieu Quang Trung, as the representative of the organization penalized under Article 1 of this Decision, for implementation.

Sai Gon Plant Protection Joint Stock Company shall strictly comply with this penalty decision. If the Company fails to voluntarily comply within the prescribed period, enforcement shall be carried out in accordance with the law. For each day of late payment, the Company must pay an additional amount equal to 0.05% of the total outstanding fine.

Sai Gon Plant Protection Joint Stock Company shall pay the fine to the State Treasury Region I, at No. 18 Giai Phong Street, Kim Lien Ward, Hanoi, or pay the fine into Account No. 7111 of the State Treasury Region I, within 10 days from the date of receipt of this Decision.

Sai Gon Plant Protection Joint Stock Company has the right to lodge a complaint or initiate administrative legal proceedings against this Decision in accordance with the law.

2. Send this Decision to the State Treasury Region I, for collection of the fine.

3. Send this Decision to Ms. Nguyen Thi Hong Nhung, Deputy Chief Inspector of the State Securities Inspectorate, for implementation.

Recipients:

- As specified in Article 3;
- Chairperson (for reporting);
- State Securities Commission of Vietnam (for information);
- Hanoi Stock Exchange (for information);
- Archives: Administrative Office and Case File (08b).

CHIEF INSPECTOR

Signed and sealed

Pham Thi Thanh Huong



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No: 9765/UBCK-TT
Re: Violations of Laws on Securities and the
Securities Market



THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom - Happiness

Ha Noi, October 01, 2026

To: Saigon Plant Protection Joint Stock Company

On 21/9/2026, the State Securities Commission (SSC) issued Record of Administrative Violation No. 82/BB-VPHC against Saigon Plant Protection Joint Stock Company (the “Company”) for violations of laws on securities and the securities market.

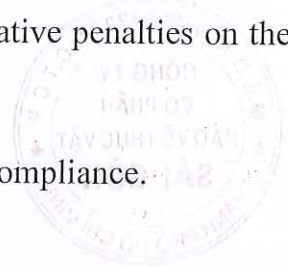
Considering the nature and severity of the violation and applying one mitigating circumstance, namely, “The administrative violator actively assisted the competent authorities in detecting and handling the administrative violation,” as stipulated in Clause 2, Article 9 of the Law on Handling Administrative Violations, the SSC hereby notifies the Company of the administrative penalty as follows:

Administrative fine: 125,000,000 VND (the average of the minimum and the average levels of the fine range from 100,000,000 VND to 200,000,000 VND) for the act of disclosing inaccurate information, as prescribed in Clause 6, Article 42 of Decree No. 156/2020/ND-CP dated 31/12/2020, of the Government prescribing administrative penalties in the field of securities and the securities market (“Decree No. 156/2020/ND-CP”), as amended and supplemented under Clause 16, Article 1 of Decree No. 306/2025/ND-CP dated 25/11/2025, of the Government amending and supplementing a number of articles of Decree No. 156/2020/ND-CP, as previously amended and supplemented by Decree No. 128/2021/ND-CP dated 30/12/2021, of the Government, and Decree No. 158/2020/ND-CP dated 31/12/2020, of the Government on derivatives and the derivatives market (“Decree No. 306/2025/ND-CP”).

Remedial measure: The Company is required to correct the information in accordance with Clause 9, Article 42 of Decree No. 156/2020/ND-CP, as amended and supplemented under Clause 16, Article 1 of Decree No. 306/2025/ND-CP.

Based on the above-mentioned Record of Administrative Violation No. 82/BB-VPHC dated 21/9/ 2026, the SSC will issue a decision imposing administrative penalties on the Company in accordance with applicable regulations.

The SSC hereby notifies the Company for its information and compliance.



Recipients:

- As above;
- Chairperson (for reporting purposes);
- Chief Inspector (for reporting purposes);
- Filed: Administration Department, Inspection Department (05B).

**FOR AND ON BEHALF OF THE CHAIRPERSON
ON BEHALF OF THE CHIEF INSPECTOR
DEPUTY CHIEF INSPECTOR**

(Signed and sealed)

Nguyen Thi Hong Nhung