



MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

No. 591/QĐ-UBCK

Hanoi, September 29th, 2026

DECISION

**On the amendment of the Decision approving the establishment of
a transaction office of securities company**

Công ty Cổ
Phần
Chứng
Khoán KAFI

Digitally signed by Công ty Cổ Phần
Chứng Khoán KAFI
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Chứng Khoán KAFI, L=Quận 1, ST=
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THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

*Pursuant to Decree No. 155/2020/NĐ-CP dated December 31st, 2020 of the Government detailing the implementation of
a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/NĐ-CP dated
September 11th, 2025;*

*Pursuant to Decision No. 686/QĐ-BTC dated February 28th, 2025 of the Minister of Finance stipulating the functions,
tasks, powers and organizational structure of the State Securities Commission;*

*Based on the License for securities business operation No. 51/UBCK-GPHĐKD dated December 29th, 2006 issued by the
Chairman of the State Securities Commission to Hoang Gia Securities Corporation (now KAFI Securities Corporation);*

*Based on the Decision approving the establishment of a transaction office of securities company No. 02/QĐ-UBCK
dated January 2nd, 2024 issued by the Chairman of the State Securities Commission approving KAFI Securities Corporation to
establish KAFI Securities Corporation – Sai Gon Transaction Office;*

Based on the dossier requesting the change of location of Sai Gon Transaction Office of KAFI Securities Corporation;

In consideration of the proposal of the Head of the Securities Business Management Department.

HEREBY DECIDES:

Article 1. To amend the Decision approving the establishment of a transaction office of securities company No. 02/QĐ-UBCK dated January 2nd, 2024 issued by the Chairman of the State Securities Commission approving KAFI Securities Corporation to establish KAFI Securities Corporation – Sai Gon Transaction Office with the following contents:

Location of transaction office: No. 27B Phung Khac Khoan, Sai Gon Ward, Ho Chi Minh City, Vietnam.

Article 2. This Decision shall take effect from the date of signing and shall be an integral part of the License for securities business operation No. 51/UBCK-GPHĐKD dated December 29th, 2006 issued by the Chairman of the State Securities Commission to KAFI Securities Corporation and the Decision approving the establishment of a transaction office of securities company No. 02/QĐ-UBCK dated January 2nd, 2024 issued by the Chairman of the State Securities Commission approving KAFI Securities Corporation to establish KAFI Securities Corporation – Sai Gon Transaction Office.

Article 3. The Chief of Office, the Head of the Securities Business Management Department, the Chief Executive Officer of the Vietnam Exchange, the Chief Executive Officer of the Ho Chi Minh Stock Exchange, the Chief Executive Officer of the Hanoi Stock Exchange, the Chief Executive Officer of the Vietnam Securities Depository and Clearing Corporation, KAFI Securities Corporation and relevant parties shall be responsible for the implementation of this Decision./.

TRANSLATION

Recipients:

- As stated in Article 3;
- Chairman of the SSC (for reporting);
- Archives: VT, QLKD (10b).

**PP. CHAIRMAN
VICE CHAIRMAN**

(Signed and sealed)

Le Thi Viet Nga