

VIETNAM NATIONAL COAL AND
MINERAL INDUSTRIES GROUP
VINACOMIN - HA LAM COAL JSC

No.: 4068 /CBTT - HLC

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Quang Ninh, September 11, 2026

INFORMATION DISCLOSURE

Maximum Foreign Ownership Ratio in Vinacomin - Ha Lam Coal Joint Stock Company

To:

- State Securities Commission of Vietnam;
- Hanoi Stock Exchange;
- Shareholders of the Company.

Company: **VINACOMIN - HA LAM COAL JOINT STOCK COMPANY**

Stock code: **HLC**

Head office: No. 1 Tan Lap Street, Ha Lam Ward, Quang Ninh City.

Phone: 0203.3825.339 - Fax: 0203.3821.203

Website: www.halamcoal.com.vn

Person responsible for information disclosure: Mr. Lieu Hong Minh - Authorized person for information disclosure.

Type of disclosure: ☐ Periodic ☒ Extraordinary (within 24 hours) ☐ Upon request

Contents of information disclosure: Vinacomin - Ha Lam Coal Joint Stock Company hereby discloses Document No. 8886/UBCK-PTTT dated September 10, 2026, issued by the State Securities Commission of Vietnam regarding the Company's notification dossier on the maximum foreign ownership ratio.

Accordingly, the Company's maximum foreign ownership ratio is **0%**.

This information was published on the Company's website on September 11, 2026, at: <https://halamcoal.com.vn>

We hereby certify that the information disclosed above is true and accurate and shall take full responsibility before the law for the contents of this disclosure./.

Recipients:

- As above;
- Board of Directors, Supervisory Board (e-copy, for reporting);
- Board of Management (e-copy, for reporting);
- Shareholders (via the Company's website);
- Financial Accounting and Statistics Department, Company Secretary (E-copy, for implementation);
- Mechanical and Transport Department (E-copy, for implementation of information disclosure and posting on the Company's website);
- Archived at: Administration Office, Company Secretary (M3).

Attached document:

Document No. 8886/UBCK-PTTT dated September 10, 2026, issued by the State Securities Commission of Vietnam.

AUTHORIZED PERSON FOR INFORMATION DISCLOSURE



Lieu Hong Minh

(Company Secretary)

Ký bởi: CÔNG TY CỔ PHẦN THAN HÀ LAM - VINACOMIN
Ngày ký: 11/09/2026 11:00:27
Tổ chức xác thực: VNPT Certification Authority

**MINISTRY OF FINANCE
STATE SECURITIES COMMISSION OF VIETNAM**

No.: 8886/UBCK-PTTT

Re: Notification dossier on the maximum foreign ownership ratio of Vinacomin - Ha Lam Coal Joint Stock Company

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Hanoi, September 10, 2026

To:

- Vinacomin - Ha Lam Coal Joint Stock Company;
- Vietnam Securities Depository and Clearing Corporation.

The State Securities Commission of Vietnam (SSC) has received the notification dossier on the maximum foreign ownership ratio at Vinacomin - Ha Lam Coal Joint Stock Company (the “Company”) (HNX: HLC), dated September 7, 2026, indicating a maximum foreign ownership ratio of **0%**. The SSC has the following opinions:

1. Organizations and individuals participating in the preparation of the dossier shall be responsible before the law for the legality, accuracy, truthfulness and completeness of the dossier in accordance with Clause 1, Article 11a of the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15, and shall be responsible for the results of the review of the maximum foreign ownership ratio at the Company in accordance with applicable laws.

In case the Company’s current foreign ownership ratio exceeds the foreign ownership ratio prescribed by law, the Company shall comply with the provisions of Clause 5, Article 139 of Decree No. 155/2020/ND-CP detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP.

2. The SSC requests the Company to fulfill its information disclosure obligations in accordance with Clause 2, Article 13 of Circular No. 96/2020/TT-BTC guiding information disclosure on the securities market, as amended and supplemented by Circular No. 68/2024/TT-BTC, Circular No. 18/2025/TT-BTC, and to comply with the laws and regulations on foreign ownership ratios in the Vietnamese securities market.

3. The Vietnam Securities Depository and Clearing Corporation shall update and adjust the maximum foreign ownership ratio at the Company on its system in accordance with Clause 4, Article 142 of Decree No. 155/2020/ND-CP detailing the implementation of certain articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP.

The SSC hereby informs the Company, the Vietnam Securities Depository and Clearing Corporation and relevant entities for their information and implementation in accordance with applicable regulations./.

Recipients:

- As above;
- Chairperson (for reporting);
- Public Company Supervision Department;
- Securities Registration Department;
- Legal Affairs Department;
- Hanoi Stock Exchange;
- Filed: Office, PTTT (09b).

FOR THE CHAIRPERSON

FOR THE HEAD OF THE SECURITIES MARKET DEVELOPMENT DEPARTMENT

DEPUTY HEAD OF THE DEPARTMENT

Tran Thi Hong Ha